

Consulate of the United States of America

Fukuoka, Japan

November 20, 2020

Dear Prospective Offerors:

SUBJECT: Request for Quotations (RFQ) Number 19JA25-21-Q-0014

Gardening Services for the U.S. Consulate Fukuoka, Japan

U.S. Consulate Fukuoka seeks to enter into a contract with a qualified, responsible, and reliable firm with sufficient knowledge and facilities for obtaining gardening services for real property owned or managed by the U.S. Consulate Fukuoka, Japan.

Note: As required by U.S. Government's federal acquisition regulation (FAR), prospective offeror shall be registered in the System for Award Management (SAM) database prior to award of a contract. The website link providing additional vendor registration information is: https://www.sam.gov/.

If you are interested in submitting a quote on this project, follow the instructions in "SECTION 3 – SOLICITATION PROVISIONS", complete the required portions of the enclosed document, and submit to the email address shown on the next page.

## 1. Pre-quotation Conference and Site Visit

a. To provide all the quoters with a uniform explanation of requirements, the U.S. Consulate Fukuoka will hold a pre-quotation conference and site visits on the following date, time, and location

Date and Time: 1:00 p.m., Thursday December 3, 2020 (local time)

Check-in will start at 12:40.

Location: American Consulate Fukuoka

Ohori 2-5-26, Chuo-ku,

Fukuoka city, Fukuoka, 810-0052, Japan

Note: The U.S. Consulate does not provide parking spaces at the Consulate. All participants must arrange their own parking place.

b. All interested quoters who wish to attend must submit individual name(s), company name/address, telephone/fax numbers, and e-mail address to Ayaka Ogawara at e-mail: OgawaraAX@state.gov by no later than 3:00 p.m., Monday November 30, 2020 (local time), to arrange entry to the Consulate buildings. The number of the participants per company is limited up to two persons.

- c. Attendee(s) must present an identification (ID) card (e.g. driver's license, passport, etc.) with photo on it when entering the buildings.
- d. Photography is NOT ALLOWED within the Consulate building, Residential areas, and grounds and all cameras must be left outside or with the guard at the entrance.

# 2. Questions

Following the Site Visits, interested quoters may submit questions in English in regard to this RFQ by **3:00 p.m., Tuesday December 8, 2020 (local time)**, via e-mail at <u>OgawaraAX@state.gov</u>. All questions will be consolidated, and one response will be released and posted on the Embassy's website at <a href="https://ip.usembassy.gov/embassy-consulates/business-opportunities/">https://ip.usembassy.gov/embassy-consulates/business-opportunities/</a>.

Attendees will be notified via email once the answers are ready on website.

# 3. Quotations

Quotations must be received by no later than **4:00 p.m., Thursday December 17, 2020 (local time)**, to Ayaka Ogawara of U.S. Consulate Fukuoka via email at <u>OgawaraAX@state.gov</u>. No quotations will be accepted after closing date and time. After submitted of quotation, quoter shall contact Ayaka Ogawara by phone (at 092-751-8519) or separate email to confirm the receipt of quotation.

It is understood that no payment will be made for preparation and submission of your quotation.

Thank you in advance for your interest and your time in participating in the Request for Quotations process.

Sincerely,

Andrew T. Staples Contracting Officer

Enclosure:

Request for Quotations 19JA25-21-Q-0014

REQUEST FOR QUOTATIONS (RFQ) (THIS IS NOT AN ORDER)				THIS RFQ[] IS [√] IS NOT A SMA			ALL BUSIN	ESS SET-ASII	DE	PAGE 1	OF 	PAGES 51		
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Procurement Agent, Management Section U.S. Consulate Fukuoka 2-5-26 Ohori Chuo-ku, Fukuoka city, Fukuoka 810-0052 Japan														
		5	B. FOR INFORMAT	ION CALL:	(No collect calls	)			7. DELIVERY	,				
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STANDARD FORM 18 (Rev. 6-95) Prescribed by GSA-FAR (48 CFR) 53.215-1(a)

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#### 1. SECTION 1: SCHEDULE

# 1.1. Continuation to SF-18, Request for Quotations (RFQ) Number 19JA25-21-Q-0014, Block 11, (b) Supplies/Services

# 1.1.1. Scope of Contract

The Contractor shall perform gardening services, including furnishing all labor, material, equipment and services, for the U.S. Consulate Fukuoka. The price listed below shall include all labor, materials, insurance (see FAR 52.228-3 and 52.228-5), overhead, and profit. The Government will pay the Contractor the fixed price per month for standard services that have been satisfactorily performed.

After contract award and submission of acceptable insurance certificates, the Contracting Officer shall issue a Notice to Proceed. The Notice to Proceed will establish a date (a minimum of ten (10) days from date of contract award unless the Contractor agrees to an earlier date) on which performance shall start.

The performance period of this contract is from the start date in the Notice to Proceed and continuing for 12 months, with (4) four one-year options to renew. The initial period of performance includes any transition period authorized under the contract.

JAMES ZADROGA 9/11 VICTIMS HEALTH AND COMPENSATION ACT OF 2010 NOTICE: UNLESS A WAIVER OR EXCEPTION APPLIES, PAYMENTS SUBSEQUENT TO THIS PROCUREMENT ARE SUBJECT TO AN EXCISE TAX OF 2% PERSUANT TO 26 U.S.C. 5000C.

#### 1.1.2. Offers and Payment in U.S. Dollars

- (a) U.S. firms are eligible to be paid in U.S. dollars. U.S. firms desiring to be paid in U.S. dollars should submit their offers in U.S. dollars. A U.S. firm is defined as a company which operates as a corporation incorporated under the laws of a state within the United States.
- (b) Foreign Firms. Any firm, which is not a U.S. firm, is a foreign firm. Any firm that does not meet the above definition of U.S.

## 1.1.3. Value Added Tax

The U.S. Consulate is exempt from Japanese consumption taxes; therefore, such taxes shall not be included in the price nor charged on invoices. After receipt of the Contractor's invoice, the U.S. Government will provide the Contractor with a signed Certificate of Tax Exemption Purchase for Foreign Establishments. (For non-Designated Stores, please visit the following link for registration:

http://www.nta.go.jp/taxes/tetsuzuki/shinsei/annai/shohi/annai/23120184.htm)

# 1.2. Continuation to SF-18, Request for Quotations (RFQ) Number 19JA25-21-Q-0014, Block 11, (e) Unit Price, (f) Amount

	Base Year. Firm-Fixed Price for Standard Services for this Contract, starting on the date			
1.	stated in the Notice to Proceed and continuing for a period of 12 months			
1a.	Price per Month:	x 12 months	Price per Year:	

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	First Option Year. The firm fixed price for the First Option Year (starting one year after the				
	date stated in the Notice to Proceed and continuing for a period of 12 months) of the				
2.	contract				
2.a	Price per Month:	x 12 months	Price per Year:		
	Second Option Year. The firn	ո fixed price for the Second Oլ	otion Year (starting two years		
	after the date stated in the N	otice to Proceed and continui	ng for a period of 12 months) of		
3.	the contract				
3.a	Price per Month:	x 12 months	Price per Year:		
	Third Option Year. The firm f	ixed price for the Third Option	n Year (starting three years after		
	the date stated in the Notice	to Proceed and continuing for	a period of 12 months) of the		
4.	contract				
4.a	Price per Month:	x 12 months	Price per Year:		
	Fourth Option Year. The firm	fixed price for the Fourth Opt	tion Year (starting four years		
	after the date stated in the N	otice to Proceed and continui	ng for a period of 12 months) of		
5.	the contract				
5.a	Price per Month:	x 12 months	Price per Year:		
6.	Grand Totals				
6.1	Base Year				
6.2	First Option Year				
6.3	Second Option Year				
6.4	Third Option Year				
6.5	Fourth Option Year				
6.7	Grand Total				

# 1.3. Continuation to SF-18, Request for Quotations (RFQ) Number 19JA25-21-Q-0014, Block 11, (b) Supplies/Services

# 1.3.1. PERFORMANCE WORK STATEMENT

The purpose of this contract is to obtain gardening services for real property owned or managed by the U.S. Consulate at Fukuoka, Japan. The Contractor shall perform gardening services in all designated spaces. The work location is 5-26 Ohori, 2-chome, Chuo-ku, Fukuoka, and includes the following:

- U.S. Consulate Building perimeter and gardens
- Officer's Residences, including common and private garden areas

#### 1.3.2. GENERAL REQUIREMENTS

Maintenance and appearance of the grass, shrubbery, garden areas, trees, and related landscape elements of the U.S. Consulate and properties are an important part of the representational responsibilities of the U.S. mission. The Government will measure the Contractor's work by the appearance of the landscape covered by this contract. The Contractor shall perform complete gardening and landscape maintenance services as described in this contract for all Government properties listed in 1.13. The Contractor shall include all planning, administration, and management necessary to assure that all services comply with the contract, the COR's schedules and instructions, and all applicable laws and regulations. The Contractor shall meet all of the standards of performance identified in the contract. The Contractor shall perform all related support functions such as supply,

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subcontracting, quality control, financial oversight, and maintenance of complete records and files.

#### 1.3.3. MANAGEMENT AND SUPERVISION

#### 1.3.3.1. SUPERVISION

The Contractor shall designate a representative who shall be responsible for on-site supervision of the Contractor's workforce at all times. This supervisor shall be the focal point for the Contractor and shall be the point of contact with U.S. Government personnel. The supervisor shall have sufficient English language skill to be able to communicate with members of the U.S. Government staff. The supervisor shall have supervision as his or her sole function.

#### 1.3.3.2. WORK SCHEDULES

The Contractor shall maintain work schedules. The schedules shall take into consideration the hours that the staff can effectively perform their services without placing a burden on the security personnel of the U.S. Consulate. All work shall be performed during the hours between 09:00 and 17:00 Monday, Wednesday, and Friday, except U.S. and Japanese holidays observed by the U.S. Consulate Fukuoka (see DOSAR 652.237-72 Observance of Legal Holidays and Administrative Leave under SECTION 2: Contract Clauses of page 25 for reference). Other hours may be approved by the COR. The Contractor must provide at least 24-hour advance notice to the COR who will consider any deviation from the hours identified above. If Monday, Wednesday, or Friday is a Consulate observed holiday, the Contractor shall plan with the COR to make up and adjust the performance for that week to make it three days a week.

#### 1.3.3.3. QUALITY CONTROL

The Contractor shall be responsible for quality control. The Contractor shall perform inspection visits to the work site on a regular basis. The Contractor shall coordinate these visits with the COR. These visits shall be surprise inspections to those working on the contract.

#### 1.3.3.4. TECHNICAL GUIDANCE

The Contractor shall have the services of a trained horticulturist with experience in the climate and soil conditions found locally to give technical guidance to the Contractor's work force and to develop and guide the Contractor's programs for lawn and tree care.

#### 1.3.3.5. GROUNDS MAINTENANCE PLAN

The Contractor shall submit an annual Grounds Maintenance Plan that reflects the proposed frequency for meeting the requirements of this contract. The Grounds Maintenance Plan will be developed to fit the requirements of local conditions, types of vegetation, and climate factors. The Contractor shall submit the Grounds Maintenance Plan to the COR for approval within 30 days after contract award.

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#### 1.3.4. LAWN CARE

#### 1.3.4.1. GRASS CUTTING

The Contractor shall maintain the height of grass between 4 and 6 centimeters. The Contractor is responsible for all equipment and fuels needed to complete this task.

#### 1.3.4.2. EDGING

The Contractor shall edge all sidewalks, driveways, and curbs each time the adjacent grass is cut.

#### 1.3.4.3. TRIMMING

The Contractor shall trim grass around trees, shrubs, cultivated areas, sprinkler heads, valves, fences, buildings, poles, and structures, so that grass height does not exceed the height of the adjacent grass.

#### 1.3.4.4. TURF REPAIR AND RE-ESTABLISHMENT

The Contractor shall, whenever necessary, repair areas damaged by vehicular traffic, oil and gas, building repairs, normal foot traffic and pet damages. The damaged area shall be filled in and leveled and then seeded or sodded and maintained to conform to adjacent areas. In consultation with the COR, the Contractor shall replace the whole lawn at the garden of the Principal Officer's Residence and Consulate Courtyard area once a year (in April) to keep the lawn in good condition.

#### 1.3.4.5. SCHEDULES

The method, frequencies, and dates of grass cutting, hedging, trimming, weeding, and turf repair shall be part of the contractor's Grounds Maintenance Plan.

#### 1.3.5. PRUNING

## 1.3.5.1. SCOPE

The Contractor shall maintain all trees, shrubs, hedges, bushes, vines, ground cover and flowers.

#### 1.3.5.2. SCHEDULES

The Contractor shall prepare a written schedule, as part of the Grounds Maintenance Plan, showing the method, frequencies, and dates of pruning. The Contractor shall prune all shrubs, vines, bushes, ground cover, and trees to:

- direct and encourage plant growth in directions desired
- remove dead and unsightly growth
- maintain a neat and attractive appearance

The Contractor shall prune according to the schedule in the Grounds Maintenance Plan to ensure that all heavy pruning is accomplished during the proper season according to best horticultural practice. The Contractor shall prune all hedges and shaped shrubs to maintain proper shape at all times.

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#### 1.3.5.3. REPLACEMENT

At no additional cost to the Government, the Contractor shall replace any tree, bush or shrub that is killed or rendered unusable for its intended purpose through negligent or irresponsible practices that are attributable to the Contractor.

#### 1.3.6. LEAF REMOVAL

The Contractor shall, on a weekly basis, remove leaves and pine needles from the properties listed in this unless instructed otherwise.

#### 1.3.7. RECYCLED MATERIALS

The Contractor shall promote recycled uses for lawn and tree debris in meeting other gardening needs, such as mulch and compost.

#### 1.3.8. REMOVAL OF DEBRIS

The Contractor shall remove foreign material, cuttings, grass, leaves, bark, limbs, dead vegetation, paper, and trash from the maintained areas including walkways, stairways and curbs within or adjacent to the area. The Contractor shall remove all debris and equipment from the work site before the end of each workday. Debris removal shall prevent unsightly accumulation. The Contractor shall promptly remove collected debris to an authorized disposal site. The Contractor is responsible for all expenses incurred in the collection and disposal of debris.

#### 1.3.9. WATERING

#### 1.3.9.1. SCOPE

The Contractor shall water lawns, flowers, shrubs and trees to provide for moisture penetration to a depth of 7 cm or as otherwise needed. If natural precipitation is sufficient to fulfill this requirement, the Contractor may request the COR's permission to suspend watering to avoid too much water in the soil.

## 1.3.9.2. FREQUENCY

The Contractor shall present the method, frequencies, and dates of watering in a written schedule in the Grounds Maintenance Plan. The schedule shall take into account the kinds of vegetation, local soil conditions, and the seasonal variations in plant moisture requirements.

#### 1.3.9.3. EQUIPMENT

The Contractor shall provide all hoses, portable sprinklers, and other similar irrigation equipment. The Government shall furnish the supply of water.

#### 1.3.10. FERTILIZER

#### 1.3.10.1. SCOPE

The Contractor shall fertilize and lime the soil to promote proper health, growth, color, and appearance of cultivated vegetation, following proper horticultural practice for the types of vegetation, soil, weather conditions, and seasons of the year.

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#### 1.3.10.2. SCHEDULE

The Contractor shall present the method of application, fertilizer type, frequencies, and dates of fertilizing and liming in the Grounds Maintenance Plan. The Contractor shall fertilize the lawn areas a minimum of two times per year. The Contractor shall fertilize trees, shrubs, bushes, hedges and plants a minimum of once a year. Additional fertilization may be required per consultation with the COR and the Contractor.

#### 1.3.11. WEEDING

The Contractor shall weed the grounds and gardens on a continuous basis to prevent the growth of weeds into lawn and landscapes. The Contractor may use weed-killing chemicals to prevent the growth of weeds in lawn areas and to eliminate grass and weeds in the cracks and joints within or along sidewalks and curbs. The Contractor shall obtain approval of the COR for all applications of weed killers.

#### 1.3.12. PEST AND DISEASE CONTROL

The Contractor shall maintain a program for controlling pests and plant disease so as to maintain flowers, shrubs, vines, trees and other planted areas in a healthy and vigorous condition. The Contractor shall obtain approval of the COR for all pesticides. The Contractor shall present a plan for pest and disease control as a part of its Grounds Maintenance Plan.

## 1.3.13. HAZARDOUS AND TOXIC SUBSTANCES.

It is the Contractor's responsibility to ensure the safe handling, application, removal and environmentally sound disposal of all hazardous or potentially hazardous fertilizers, weed killers, and pest control products utilized in this requirement.

# 1.3.14. POND CLEANING

The Contractor shall clean the Principal Officer's Residence (POR) pond as needed only when the pond is being used. The Contractor shall remove dead leaves, trash floating in pond, and algae in the POR pond only when the pond is being used.

The Contractor shall clean the courtyard pond in front of the Consulate on a regular basis under the supervision of the COR. The Contractor shall remove algae in the courtyard pond as needed. The Contractor will provide and use chemicals approved by the COR to eliminate algae growth. As needed, the Contractor shall use high pressure washing devices to clean thoroughly the pond by draining the water of the pond.

#### 1.3.15. DRAINAGE DITCHES

The Contractor shall remove rubbish and mud from the drainage ditches as needed. The Contractor shall clean the surface of the road in front of the Consulate entrances and garages. The Contractor shall clean the catch basins in the drainage ditches.

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#### 1.3.16. INTERIOR MAINTENANCE

#### 1.3.16.1. CONSULATE OFFICE BUILDING

The Contractor shall maintain all live plant planters inside the office building as requested by the COR.

#### 1.3.16.2. RESIDENCES

The Contractor shall maintain plants inside the four residences while under the supervision of the COR when an occupant makes such a request and/or is on home leave, transfer out, or otherwise not at the Consulate for an extended period.

#### 1.3.17. LIGHTING

The Contractor shall help the COR to install/remove decorations and lighting fixtures in designated areas before and after the Christmas season as per instruction of the COR.

#### 1.3.18. EMERGENCY MEASURES AND HAZARDOUS CONDITIONS

The Contractor shall take emergency measures to protect the trees, shrubs, and plants in case of natural disasters, typhoons, and snow. The Contractor shall report any suspicious items or hazardous situations promptly to the COR.

#### 1.3.19. REPORTING

The Contractor will complete and submit weekly a completed check list to the COR, or as requested by the COR. The checklist will be generated by the GMMS system and provided to the Contractor by the COR.

#### 1.4. DELIVERABLES

## 1.4.1. DOCUMENTS

The following items shall be delivered under this contract:

DESCRIPTION	QUANTITY	DELIVERY DATE	DELIVER TO:
Grounds Maintenance Plan	1	No more than 30 days from award	COR
		or start of option year	
Security Form	1	10 days after award	COR
Insurance	1	10 days after award	CO
Transition Plan	1	10 days after award	COR

# 1.5. PERSONNEL REQUIREMENTS

# 1.5.1. GENERAL.

The Contractor shall maintain discipline at the site and shall take all reasonable precautions to prevent any unlawful, riotous or disorderly conduct by Contractor employees at the site. The Contractor shall preserve peace and protect persons and property on site. The Government reserves the right to direct the Contractor to remove an employee from the

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worksite for failure to comply with the standards of conduct. The Contractor shall immediately replace such an employee to maintain continuity of services at no additional costs to the Government.

#### 1.5.2. STANDARD OF CONDUCT

#### 1.5.2.1. UNIFORMS AND PERSONAL EQUIPMENT

The Contractor's employees shall wear clean, neat and complete uniforms when on duty. All employees shall wear uniforms approved by the Contracting Officer's Representative (COR).

#### 1.5.2.2. NEGLECT OF DUTIES

The Contractor shall enforce no sleeping while on duty, unreasonable delays or failures to carry out assigned tasks, conducting personal affairs during duty hours and refusing to render assistance or cooperate in upholding the integrity of the worksite security.

#### 1.5.2.3. DISRUPTIVE ACTIVITIES

Disorderly conduct, use of abusive or offensive language, quarreling, intimidation by words, actions, or fighting shall not be condoned. Also included is participation in disruptive activities, which interfere with normal and efficient Government operations.

## 1.5.2.4. INTOXICANTS AND NARCOTICS

The Contractor shall not allow its employees while on duty to possess, sell, consume, or be under the influence of intoxicants, drugs or substances that produce similar effects.

#### 1.5.2.5. CRIMINAL ACTIONS

Contractor employees may be subject to criminal actions as allowed by law in certain circumstances. These include but are not limited to the following actions:

- Falsification or unlawful concealment, removal, mutilation, or destruction of any
  official documents or records or concealment of material facts by willful omission
  from official documents or records;
- Unauthorized use of Government property, theft, vandalism, or immoral conduct;
- Unethical or improper use of official authority or credentials;
- Security violations;
- Organizing or participating in gambling in any form.

## 1.5.3. KEY CONTROL

The Contractor shall receive, secure, issue and account for any keys issued for access to buildings, offices, equipment, gates, etc., for the purposes of this contract. The Contractor shall not duplicate keys without the COR's approval. Where it is determined that the Contractor or its agents have duplicated a key without permission of the COR, the Contractor shall remove the individual(s) responsible from this contract. If the Contractor

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has lost any such keys, the Contractor shall immediately notify the COR. In either event, the Contractor shall reimburse the Government for the cost of rekeying that portion of the system.

## 1.5.4. NOTICE TO THE GOVERNMENT OF LABOR DISPUTES

The Contractor shall inform the COR of any actual or potential labor dispute that is delaying or threatening to delay the timely performance of this contract.

#### 1.5.5. PERSONNEL SECURITY

After award of the contract, the Contractor shall include a list of workers and supervisors assigned to this project, using a security form provided by the COR. The Government will run background checks on these individuals. It is anticipated that security checks will take 30 days to perform.

#### 1.5.6. ID CARDS

The Government shall issue identity cards to Contractor personnel, after they are approved. Contractor personnel shall always display identity card(s) on the uniform while providing services under this contract. These identity cards are the property of the U.S. Government. The Contractor is responsible for their return at the end of the contract, when an employee leaves Contractor service, or at the request of the Government. The Government reserves the right to deny access to U.S.-owned and U.S.-operated facilities to any individual. Contractor personnel must immediately inform the Local Guard Force and COR if an identity card is lost or stolen.

#### 1.5.7. SECURITY INSTRUCTIONS

Contractor personnel shall follow all security-related instructions from the COR and the Local Guard Force, as well as any alarms. Contractor personnel shall also appropriately react to any regularly scheduled emergency drills.

#### 1.6. MATERIALS AND EQUIPMENT

The Contractor shall provide all necessary gardening supplies, tools and equipment to perform the work as outlined in this document.

# 1.7. GOVERNMENT FURNISHED PROPERTY

The U.S. Government shall make the following property available to the Contractor as "Government Furnished Property (GFP)" for performance under the contract:

- a. water,
- b. electricity, and
- c. storage space.

# 1.8. INSURANCE

# 1.8.1. AMOUNT OF INSURANCE.

The Contractor is required to provide whatever insurance is legally necessary. The Contractor shall, at its own expense, provide and maintain during the entire performance period the following insurance amounts listed below.

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#### 1.8.2. GENERAL LIABILITY

Insurance shall include premises/operations, collapse hazard, products, completed operations, contractual, independent contractors, broad form property damage, and personal injury.

# 1.8.2.1. Bodily Injury

Stated in Japanese Yen:

Per Occurrence ¥30,000,000 Cumulative ¥90,000,000

## 1.8.2.2. Property Damage

Stated in Japanese Yen:

Per Occurrence ¥3,000,000 Cumulative ¥9,000,000

#### **1.8.3. MINIMUMS**

The types and amounts of insurance are the minimums required. The Contractor shall obtain any other types of insurance required by local law or that are ordinarily or customarily obtained in the location of the work. The limit of such insurance shall be as provided by law or sufficient to meet normal and customary claims.

#### 1.8.4. WORKERS COMPENSATION

For those Contractor employees assigned to this contract who are either United States citizens or direct hire in the United States or its possessions, the Contractor shall provide workers' compensation insurance in accordance with FAR 52.228-3, or host country nationals that do not have a DOL waiver.

#### 1.8.5. LIABILITY

The Contractor agrees that the Government shall not be responsible for personal injuries or for damages to:

- any property of the Contractor,
- its officers,
- agents,
- servants,
- employees, or
- any other person,

arising from any incident to the Contractor's performance of this contract. The Contractor shall hold harmless and indemnify the Government from any and all claims arising, except in the instance of gross negligence on the part of the Government.

#### 1.8.6. MATERIALS

The Contractor shall obtain adequate insurance for damage to, or theft of, materials and equipment in insurance coverage for loose transit to the site or in storage on or off the site.

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#### 1.8.7. GOVERNMENT AS ADDITIONAL INSURED

The general liability policy required of the Contractor shall name "the United States of America, acting by and through the Department of State," as an additional insured with respect to operations performed under this contract.

#### 1.8.8. TIME FOR SUBMISSION OF EVIDENCE OF INSURANCE

The Contractor shall provide evidence of the insurance required under this contract within ten (10) days after contract award. The Government may rescind or terminate the contract if the Contractor fails to timely submit insurance certificates identified above.

#### 1.9. LAWS AND REGULATIONS

Without additional expense to the Government, the Contractor shall comply with all laws, codes, ordinances, and regulations required to perform this work. If there is a conflict between the contract and requirements of local law, the Contractor shall promptly advise the Contracting Officer of the conflict and of the Contractor's proposed course of action for resolution by the Contracting Officer. The Contractor shall comply with all local labor laws, regulations, customs and practices pertaining to labor, safety, and similar matters, unless they are inconsistent with the requirements of this contract.

#### 1.10. TRANSITION PLAN

Within 10 calendar days after contract award, the Contracting Officer may ask the Contractor to develop a plan for preparing the new Contractor to assume all responsibilities for gardening services. The plan shall establish the projected period for completion of all clearances of contractor personnel, and the projected start date for performance of all services required under this contract. The plan shall assign priority to the selection of all supervisors to be used under the contract.

## 1.11. QUALITY ASSURANCE AND SURVEILLANCE PLAN (QASP)

This plan provides an effective method to promote satisfactory contractor performance. The QASP provides a method for the Contracting Officer's Representative (COR) to monitor Contractor performance, advise the Contractor of unsatisfactory performance, and notify the Contracting Officer of continued unsatisfactory performance. The Contractor, not the Government, is responsible for management and quality control to meet the terms of the contract. The role of the Government is to monitor quality to ensure that contract standards are achieved.

Performance Objective	Section	Performance Threshold
Performs all gardening services set		All required services are performed
forth in the scope of work.	1.3	and no more than one customer
		complaint is received per month.

#### 1.11.1. SURVEILLANCE

The COR will receive and document all complaints from Government personnel regarding the services provided. If appropriate, the COR will send the complaints to the Contractor for corrective action.

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#### 1.11.2. STANDARD

The performance standard is that the Government receives no more than one (1) customer complaint per month. The COR shall notify the Contracting Officer of the complaints so that the Contracting Officer may take appropriate action to enforce the inspection clause (FAR 52.212-4, Contract Terms and Conditions-Commercial Items), if any of the services exceed the standard.

#### 1.11.3. PROCEDURES

- If any Government personnel observe unacceptable services, either incomplete work or required services not being performed they should immediately contact the COR.
- The COR will complete appropriate documentation to record the complaint.
- The COR determines the complaint is invalid, the COR will advise the complainant. The COR will retain the annotated copy of the written complaint for his/her files.
- If the COR determines the complaint is valid, the COR will inform the Contractor and give the Contractor additional time to correct the defect, if additional time is available.
   The COR shall determine how much time is reasonable.
- The COR shall, as a minimum, orally notify the Contractor of any valid complaints.
- If the Contractor disagrees with the complaint after investigation of the site and challenges the validity of the complaint, the Contractor will notify the COR. The COR will review the matter to determine the validity of the complaint.
- The COR will consider complaints as resolved unless notified otherwise by the complainant.
- Repeat customer complaints are not permitted for any services. If a repeat customer complaint is received for the same deficiency during the service period, the COR will contact the Contracting Officer for appropriate action under the Inspection clause.

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# 2. SECTION 2: CONTRACT CLAUSES

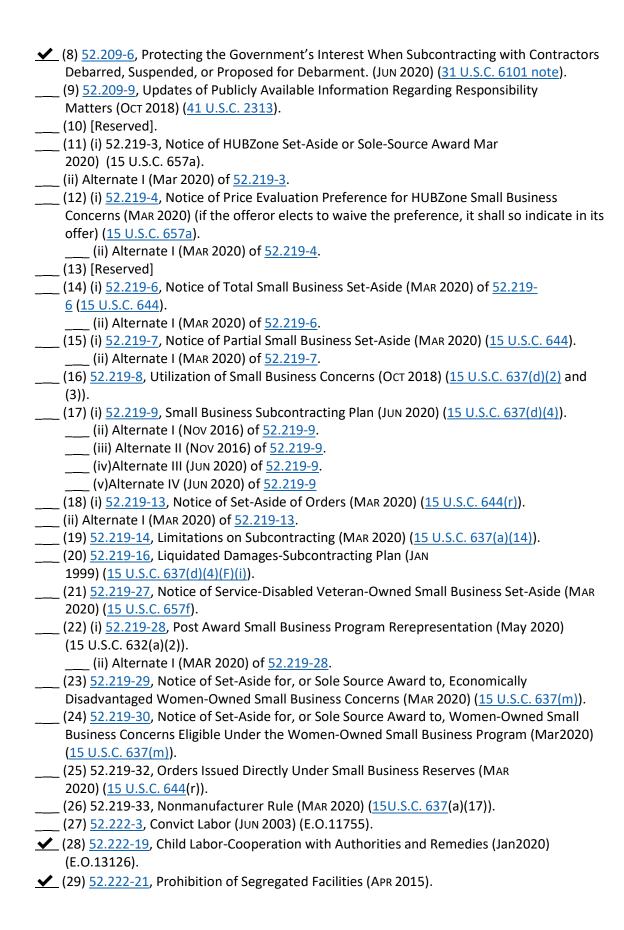
2.1. FAR 52.212-4 CONTRACT TERMS AND CONDITIONS – COMMERCIAL ITEMS (OCT 2018)
AND (DEVIATION 2017-02) (JUNE 2017)

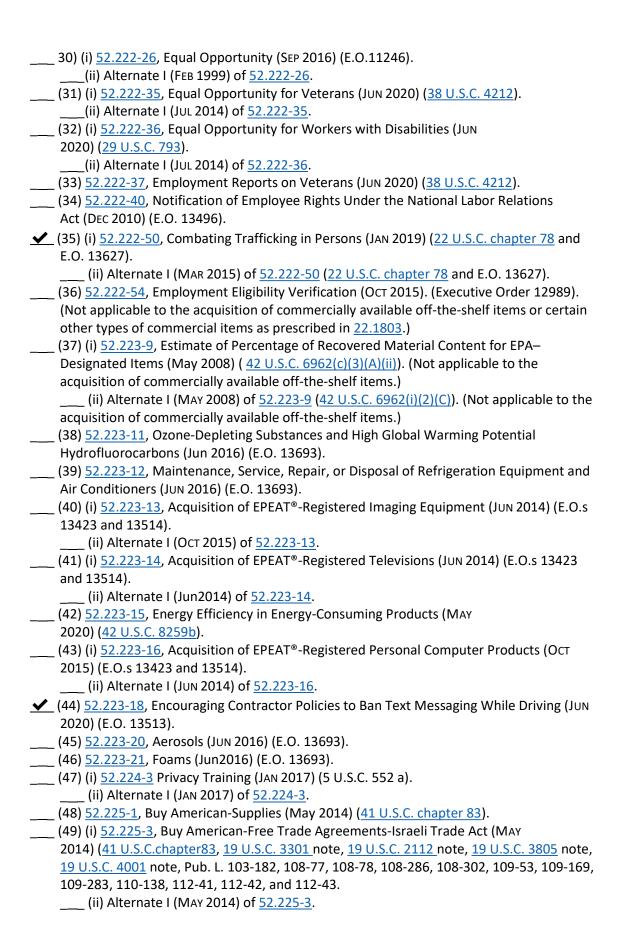
is incorporated by reference (see SF-1449, Block 27A). There are no addendums to this clause.

# 2.2. 52.212- 5 Contract Terms and Conditions Required to Implement Statutes or Executive Orders-Commercial Items (Aug 2020)

- (a) The Contractor shall comply with the following Federal Acquisition Regulation (FAR) clauses, which are incorporated in this contract by reference, to implement provisions of law or Executive orders applicable to acquisitions of commercial items:
  - (1) <u>52.203-19</u>, Prohibition on Requiring Certain Internal Confidentiality Agreements or Statements (JAN 2017) (section 743 of Division E, Title VII, of the Consolidated and Further Continuing Appropriations Act, 2015 (Pub. L. 113-235) and its successor provisions in subsequent appropriations acts (and as extended in continuing resolutions)).
  - (2) <u>52.204-23</u>, Prohibition on Contracting for Hardware, Software, and Services Developed or Provided by Kaspersky Lab and Other Covered Entities (JUL 2018) (Section 1634 of Pub. L. 115-91).
  - (3) <u>52.204-25</u>, Prohibition on Contracting for Certain Telecommunications and Video Surveillance Services or Equipment. (Aug 2020) (Section 889(a)(1)(A) of Pub. L. 115-232).
  - (4) 52.209-10, Prohibition on Contracting with Inverted Domestic Corporations (Nov 2015).
  - (5) 52.233-3, Protest After Award (Aug 1996) (31 U.S.C. 3553).
  - (6) <u>52.233-4</u>, Applicable Law for Breach of Contract Claim (OCT 2004) (Public Laws 108-77 and 108-78 ( <u>19 U.S.C. 3805 note</u>)).

(b)	The Contractor shall comply with the FAR clauses in this paragraph (b) that the Contracting
	Officer has indicated as being incorporated in this contract by reference to implement
	provisions of law or Executive orders applicable to acquisitions of commercial items:
	(1) <u>52.203-6</u> , Restrictions on Subcontractor Sales to the Government (JUNE 2020),
	with Alternate I (Oct 1995) (41 U.S.C. 4704 and 10 U.S.C. 2402).
	(2) 52.203-13, Contractor Code of Business Ethics and Conduct (JUN
	2020) ( <u>41 U.S.C. 3509</u> )).
	(3) 52.203-15, Whistleblower Protections under the American Recovery and Reinvestment
	Act of 2009 (JUN 2010) (Section 1553 of Pub. L. 111-5). (Applies to contracts funded by the
	American Recovery and Reinvestment Act of 2009.)
	✓ (4) 52.204-10, Reporting Executive Compensation and First-Tier Subcontract Awards (Jun
	2020) (Pub. L. 109-282) ( <u>31 U.S.C. 6101 note</u> ).
	(5) [Reserved].
	(6) <u>52.204-14</u> , Service Contract Reporting Requirements (OCT 2016) (Pub. L. 111-117,
	section 743 of Div. C).
	(7) 52.204-15, Service Contract Reporting Requirements for Indefinite-Delivery
	Contracts (OCT 2016) (Pub. L. 111-117, section 743 of Div. C).





	(iii) Alternate II (MAY 2014) of <u>52.225-3</u> .
	(iv) Alternate III (MAY 2014) of <u>52.225-3</u> .
	(50) <u>52.2</u> <u>25-5</u> , Trade Agreements (OCT 2019) ( <u>19 U.S.C. 2501</u> , et
	seq., <u>19 U.S.C. 3301</u> note).
	✓ (51) 52.225-13, Restrictions on Certain Foreign Purchases (JUN 2008) (E.O.'s,
	proclamations, and statutes administered by the Office of Foreign Assets Control of the
	Department of the Treasury).
	(52) <u>52.225-26</u> , Contractors Performing Private Security Functions Outside the United
	States (Oct 2016) (Section 862, as amended, of the National Defense Authorization Act for
	Fiscal Year 2008; <u>10 U.S.C. 2302Note)</u> .
	(53) <u>52.226-4</u> , Notice of Disaster or Emergency Area Set-Aside (Nov2007) ( <u>42 U.S.C. 5150</u> ).
	(54) <u>52.226-5</u> , Restrictions on Subcontracting Outside Disaster or Emergency Area
	(Nov2007) ( <u>42 U.S.C. 5150</u> ).
	(55) <u>52.229-12</u> , Tax on Certain Foreign Procurements (JUN 2020).
	(56) <u>52.232-29</u> , Terms for Financing of Purchases of Commercial Items (FEB
	2002) ( <u>41 U.S.C. 4505</u> , <u>10 U.S.C. 2307(f)</u> ).
	(57) <u>52.232-30</u> , Installment Payments for Commercial Items (Jan2017)
	(41 U.S.C. 4505, 10 U.S.C. 2307(f)).
	✓ (58) <u>52.232-33</u> , Payment by Electronic Funds Transfer-System for Award
	Management (OCT2018) ( <u>31 U.S.C. 3332</u> ).
	(59) <u>52.232-34</u> , Payment by Electronic Funds Transfer-Other than System for Award
	Management (Jul 2013) ( <u>31 U.S.C. 3332</u> ).
	(60) <u>52.232-36</u> , Payment by Third Party (MAY 2014) ( <u>31 U.S.C. 3332</u> ).
	✓ (61) <u>52.239-1</u> , Privacy or Security Safeguards (Aug 1996) ( <u>5 U.S.C. 552a</u> ).
	(62) <u>52.242-5</u> , Payments to Small Business Subcontractors (JAN
	2017) ( <u>15 U.S.C. 637(d)(13)</u> ). (63) (i) <u>52.247-64</u> , Preference for Privately Owned U.SFlag Commercial Vessels (FEB
	2006) (46 U.S.C. Appx. 1241(b) and 10 U.S.C. 2631).
	(ii) Alternate I (APR 2003) of <u>52.247-64</u> .
	(ii) Alternate I (APR 2005) of <u>52.247-64</u> .
	(III) Alternate II (I EB 2000) 01 <u>32.247 04</u> .
(c)	The Contractor shall comply with the FAR clauses in this paragraph (c), applicable to commercial services, that the Contracting Officer has indicated as being incorporated in this contract by reference to implement provisions of law or Executive orders applicable to acquisitions of commercial items:
	(1) <u>52.222-41</u> , Service Contract Labor Standards (Aug 2018) ( <u>41 U.S.C. chapter 67</u> ). (2) <u>52.222-42</u> , Statement of Equivalent Rates for Federal Hires (May 2014) ( <u>29 U.S.C. 206</u>
	and <u>41 U.S.C. chapter 67</u> ).
	(3) <u>52.222-43</u> , Fair Labor Standards Act and Service Contract Labor Standards-Price
	Adjustment (Multiple Year and Option Contracts) (Aug 2018) (29 U.S.C. 206 and 41
	U.S.C. chapter 67).  (4) 52 222 44 Fair Labor Standards Act and Sonies Contract Labor Standards Price
	(4) 52.222-44, Fair Labor Standards Act and Service Contract Labor Standards. Price
	Adjustment (May 2014) ( <u>29 U.S.C. 206</u> and <u>41 U.S.C. chapter 67</u> ). (5) <u>52.222-51</u> , Exemption from Application of the Service Contract Labor Standards to
	Contracts for Maintenance, Calibration, or Repair of Certain Equipment-Requirements
	(May 2014) (41 U.S.C. chapter 67).
	(Iviay 2014) (41 0.3.C. Chapter 07).

(6) <u>52.222-53</u> , Exemption from Application of the Service Contract Labor Standards to
Contracts for Certain Services-Requirements (May 2014) (41 U.S.C. chapter 67).
(7) <u>52.222-55</u> , Minimum Wages Under Executive Order 13658 (Dec 2015).
(8) <u>52.222-62</u> , Paid Sick Leave Under Executive Order 13706 (Jan 2017) (E.O. 13706).
(9) <u>52.226-6</u> , Promoting Excess Food Donation to Nonprofit Organizations (Jun 2020) ( <u>42</u>
<u>U.S.C. 1792</u> ).

- (d) Comptroller General Examination of Record. The Contractor shall comply with the provisions of this paragraph (d) if this contract was awarded using other than sealed bid, is in excess of the simplified acquisition threshold, as defined in FAR <u>2.101</u>, on the date of award of this contract, and does not contain the clause at <u>52.215-2</u>, Audit and Records-Negotiation.
  - (1) The Comptroller General of the United States, or an authorized representative of the Comptroller General, shall have access to and right to examine any of the Contractor's directly pertinent records involving transactions related to this contract.
  - (2) The Contractor shall make available at its offices at all reasonable times the records, materials, and other evidence for examination, audit, or reproduction, until 3 years after final payment under this contract or for any shorter period specified in FAR <u>subpart 4.7</u>, Contractor Records Retention, of the other clauses of this contract. If this contract is completely or partially terminated, the records relating to the work terminated shall be made available for 3 years after any resulting final termination settlement. Records relating to appeals under the disputes clause or to litigation or the settlement of claims arising under or relating to this contract shall be made available until such appeals, litigation, or claims are finally resolved.
  - (3) As used in this clause, records include books, documents, accounting procedures and practices, and other data, regardless of type and regardless of form. This does not require the Contractor to create or maintain any record that the Contractor does not maintain in the ordinary course of business or pursuant to a provision of law.
- (e) (1) Notwithstanding the requirements of the clauses in paragraphs (a), (b), (c), and (d) of this clause, the Contractor is not required to flow down any FAR clause, other than those in this paragraph (e)(1) in a subcontract for commercial items. Unless otherwise indicated below, the extent of the flow down shall be as required by the clause.
  - (i) 52.203-13, Contractor Code of Business Ethics and Conduct (Jun 2020) (41 U.S.C. 3509).
  - (ii) 52.203-19, Prohibition on Requiring Certain Internal Confidentiality Agreements or Statements (Jan 2017) (section 743 of Division E, Title VII, of the Consolidated and Further Continuing Appropriations Act, 2015 (Pub. L. 113-235) and its successor provisions in subsequent appropriations acts (and as extended in continuing resolutions)).
  - (iii) <u>52.204-23</u>, Prohibition on Contracting for Hardware, Software, and Services Developed or Provided by Kaspersky Lab and Other Covered Entities (Jul 2018) (Section 1634 of Pub. L. 115-91).
  - (iv) <u>52.204-25</u>, Prohibition on Contracting for Certain Telecommunications and Video Surveillance Services or Equipment. (Aug 2020) (Section 889(a)(1)(A) of Pub. L. 115-232).
  - (v) <u>52.219-8</u>, Utilization of Small Business Concerns (Oct 2018) (<u>15 U.S.C. 637(d)(2)</u> and (3)), in all subcontracts that offer further subcontracting opportunities. If the subcontract (except subcontracts to small business concerns) exceeds \$700,000 (\$1.5 million for construction of any public facility), the subcontractor must include <u>52.219-8</u> in lower tier subcontracts that offer subcontracting opportunities.
  - (vi) <u>52.222-21</u>, Prohibition of Segregated Facilities (Apr 2015)

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- (vii) <u>52.222-26</u>, Equal Opportunity (Sept 2015) (E.O. 11246).
- (viii) <u>52.222-35</u>, Equal Opportunity for Veterans (Jun 2020) (<u>38 U.S.C. 4212</u>).
- (ix) <u>52.222-36</u>, Equal Opportunity for Workers with Disabilities (Jun 2020) (<u>29 U.S.C. 793</u>).
- (x) <u>52.222-37</u>, Employment Reports on Veterans (Jun 2020) (<u>38 U.S.C. 4212</u>)
- (xi) <u>52.222-40</u>, Notification of Employee Rights Under the National Labor Relations Act (Dec 2010) (E.O. 13496). Flow down required in accordance with paragraph (f) of FAR clause 52.222-40.
- (xii) 52.222-41, Service Contract Labor Standards (Aug 2018) (41 U.S.C. chapter 67).

(xiii)

- (A) <u>52.222-50</u>, Combating Trafficking in Persons (Jan 2019) (<u>22 U.S.C. chapter 78</u> and E.O 13627).
  - \_ (B) Alternate I (Mar 2015) of <u>52.222-50</u> (<u>22 U.S.C. chapter 78 and E.O 13627</u>).
- (xiv) <u>52.222-51</u>, Exemption from Application of the Service Contract Labor Standards to Contracts for Maintenance, Calibration, or Repair of Certain Equipment-Requirements (May 2014) (41 U.S.C. chapter 67).
- (xv) <u>52.222-53</u>, Exemption from Application of the Service Contract Labor Standards to Contracts for Certain Services-Requirements (May 2014) (<u>41 U.S.C. chapter 67</u>).
- (xvi) 52.222-54, Employment Eligibility Verification (Oct 2015) (E.O. 12989).
- (xvii) 52.222-55, Minimum Wages Under Executive Order 13658 (Dec 2015).
- (xviii) <u>52.222-62</u>, Paid Sick Leave Under Executive Order 13706 (Jan 2017) (E.O. 13706).
- (xix) (A) <u>52.224-3</u>, Privacy Training (Jan 2017) (5 U.S.C. 552a). (B) Alternate I (Jan 2017) of 52.224-3.
- (xx) <u>52.225-26</u>, Contractors Performing Private Security Functions Outside the United States (Oct 2016) (Section 862, as amended, of the National Defense Authorization Act for Fiscal Year 2008; <u>10 U.S.C. 2302 Note</u>).
- (xxi) <u>52.226-6</u>, Promoting Excess Food Donation to Nonprofit Organizations (Jun 2020) (<u>42 U.S.C. 1792</u>). Flow down required in accordance with paragraph (e) of FAR clause <u>52.226-6</u>.
- (xxii) <u>52.247-64</u>, Preference for Privately Owned U.S.-Flag Commercial Vessels (Feb 2006) (<u>46 U.S.C. Appx. 1241(b)</u> and <u>10 U.S.C. 2631</u>). Flow down required in accordance with paragraph (d) of FAR clause <u>52.247-64</u>.
- (2) While not required, the Contractor may include in its subcontracts for commercial items a minimal number of additional clauses necessary to satisfy its contractual obligations.

(End of clause)

#### 2.3. 52.229-12 Tax on Certain Foreign Procurements (JUN 2020)

- (a) Definitions. As used in this clause—
  - Foreign person means any person other than a United States person.

United States person, as defined in 26 U.S.C. 7701(a)(30), means—

- (1) A citizen or resident of the United States;
- (2) A domestic partnership;
- (3) A domestic corporation;
- (4) Any estate (other than a foreign estate, within the meaning of 26 U.S.C. 7701(a)(31)); and

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- (5) Any trust if—
  - (i) A court within the United States is able to exercise primary supervision over the administration of the trust; and
  - (ii) One or more United States persons have the authority to control all substantial decisions of the trust.
- (b) This clause applies only to foreign persons. It implements 26 U.S.C. 5000C and its implementing regulations at 26 CFR 1.5000C-1 through 1.5000C-7.

(c)

- (1) If the Contractor is a foreign person and has only a partial or no exemption to the withholding, the Contractor shall include the Department of the Treasury Internal Revenue Service Form W-14, Certificate of Foreign Contracting Party Receiving Federal Procurement Payments, with each voucher or invoice submitted under this contract throughout the period in which this status is applicable. The excise tax withholding is applied at the payment level, not at the contract level. The Contractor should revise each IRS Form W-14 submission to reflect the exemption (if any) that applies to that particular invoice, such as a different exemption applying. In the absence of a completed IRS Form W-14 accompanying a payment request, the default withholding percentage is 2 percent for the section 5000C withholding for that payment request. Information about IRS Form W-14 and its separate instructions is available via the internet at www.irs.gov/w14.
- (2) If the Contractor is a foreign person and has indicated in its offer in the provision 52.229-11, Tax on Certain Foreign Procurements—Notice and Representation, that it is fully exempt from the withholding, and certified the full exemption on the IRS Form W-14, and if that full exemption no longer applies due to a change in circumstances during the performance of the contract that causes the Contractor to become subject to the withholding for the 2 percent excise tax then the Contractor shall—
  - (i) Notify the Contracting Officer within 30 days of a change in circumstances that causes the Contractor to be subject to the excise tax withholding under 26 U.S.C. 5000C; and
  - (ii) Comply with paragraph (c)(1) of this clause.
- (d) The Government will withhold a full 2 percent of each payment unless the Contractor claims an exemption. If the Contractor enters a ratio in Line 12 of the IRS Form W-14, the result of Line 11 divided by Line 10, the Government will withhold from each payment an amount equal to 2 percent multiplied by the contract ratio. If the Contractor marks box 9 of the IRS Form W-14 (rather than completes Lines 10 through 12), 3ontractor must identify and enter the specific exempt and nonexempt amounts in Line 15 of the IRS Form W-14; the Government will then withhold 2 percent only from the nonexempt amount. See the IRS Form W-14 and its instructions.
- (e) Exemptions from the withholding under this clause are described at 26 CFR 1.5000C-1(d)(5) through (7). Any exemption claimed and self-certified on the IRS Form W-14 is subject to audit by the IRS. Any disputes regarding the imposition and collection of the 26 U.S.C. 5000C tax are adjudicated by the IRS as the 26 U.S.C. 5000C tax is a tax matter, not a contract issue.
- (f) Taxes imposed under 26 U.S.C. 5000C may not be—(1) Included in the contract price; nor

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- (2) Reimbursed.
- (g) A taxpayer may, for a fee, seek advice from the Internal Revenue Service (IRS) as to the proper tax treatment of a transaction. This is called a private letter ruling. Also, the IRS may publish a revenue ruling, which is an official interpretation by the IRS of the Internal Revenue Code, related statutes, tax treaties, and regulations. A revenue ruling is the conclusion of the IRS on how the law is applied to a specific set of facts. For questions relating to the interpretation of the IRS regulations go to https://www.irs.gov/help/taxlaw-questions.

(End of clause)

# 2.4. ADDENDUM TO CONTRACT CLAUSES FAR AND DOSAR CLAUSES NOT PRESCRIBED IN PART 12

# 2.4.1. 52.252-2 CLAUSES INCORPORATED BY REFERENCE (FEB 1998)

This contract incorporates one or more clauses by reference, with the same force and effect as if they were given in full text. Upon request, the Contracting Officer will make their full text available. Also, the full text of a clause may be accessed electronically at: <a href="http://www.acquisition.gov/far/">http://www.acquisition.gov/far/</a> or, <a href="http://farsite.hill.af.mil/vffara.htm">http://farsite.hill.af.mil/vffara.htm</a>

These addresses are subject to change. If the Federal Acquisition Regulation (FAR) is not available at the locations indicated above, use the Department of State Acquisition website at <a href="https://www.ecfr.gov/cgi-bin/text-idu/SSID-20078208.doi:20

<u>idx?SID=2e978208d0d2aa44fb9502725ecac4e5&mc=true&tpl=/ecfrbrowse/Title48/48chapte</u> <u>r6.tpl</u> to see the links to the FAR. You may also use an internet "search engine" (for example, Google, Yahoo, Excite) to obtain the latest location of the most current FAR.

The following Federal Acquisition Regulations are incorporated by reference:

CLAUSE	TITLE AND DATE
52.203-17	CONTRACTOR EMPLOYEE WHISTLEBLOWER RIGHTS AND REQUIREMENT TO
	INFORM EMPLOYEES OF WHISTLEBLOWER RIGHTS (APR 2014)
52.204-9	PERSONAL IDENTITY VERIFICATION OF CONTRACTOR PERSONNEL (JAN 2011)
52.204-13	SYSTEM FOR AWARD MANAGEMENT MAINTENANCE (OCT 2018)
52.204-18	COMMERCIAL AND GOVERNMENT ENTITY CODE MAINTENANCE (JUL 2016)
52.225-14	INCONSISTENCY BETWEEN ENGLISH VERSION AND TRANSLATION OF CONTRACT
	(FEB 2000)
52.228-3	WORKERS' COMPENSATION INSURANCE (DEFENSE BASE ACT) JUL 2014
52.228-4	WORKERS' COMPENSATION AND WAR-HAZARD INSURANCE OVERSEAS (APR
	1984)
52.228-5	INSURANCE - WORK ON A GOVERNMENT INSTALLATION (JAN 1997)
52.229-6	FOREIGN FIXED PRICE CONTRACTS (FEB 2013)
52.232-39	UNENFORCEABILITY OF UNAUTHORIZED OBLIGATIONS (JUNE 2013)

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#### 52.236-13 ACCIDENT PREVENTION (NOV 1991)

The following FAR clause(s) is/are provided in full text:

## 2.4.2. 52.217-8 OPTION TO EXTEND SERVICES (NOV 1999)

The Government may require continued performance of any services within the limits and at the rates specified in the contract. The option provision may be exercised more than once, but the total extension of performance hereunder shall not exceed 6 months. The Contracting Officer may exercise the option by written notice to the Contractor within the performance period of the contract.

#### 2.4.3. 52.217-9 Option to Extend the Term of the Contract (MAR 2000)

- (a) The Government may extend the term of this contract by written notice to the Contractor within the performance period of the contract or within 30 days after funds for the option year become available, whichever is later.
- (b) If the Government exercises this option, the extended contract shall be considered to include this option clause.
- (c) The total duration of this contract, including the exercise of any options under this clause, shall not exceed 5 years.

# 2.4.4. 52.232-19 AVAILABILITY OF FUNDS FOR THE NEXT FISCAL YEAR (APR 1984) Funds are not presently available for performance under this contract beyond September 30 of the current calendar year. The Government's obligation for performance of this contract beyond that date is contingent upon the availability of appropriated funds from which payment for contract purposes can be made. No legal liability on the part of the Government for any payment may arise for performance under this contract September 30 of the current calendar year, until funds are made available to the Contracting Officer for performance and until the Contractor receives notice of availability, to be confirmed in writing by the Contracting Officer.

The following DOSAR clause(s) is provided in full text:

# 2.4.5. 652.204-70 DEPARTMENT OF STATE PERSONAL IDENTIFICATION CARD ISSUANCE (MAY 2011)

- (a) The Contractor shall comply with the Department of State (DOS) Personal Identification Card Issuance Procedures for all employees performing under this contract who require frequent and continuing access to DOS facilities, or information systems. The Contractor shall insert this clause in all subcontracts when the subcontractor's employees will require frequent and continuing access to DOS facilities, or information systems.
- (b) The DOS Personal Identification Card Issuance Procedures may be accessed at <a href="http://www.state.gov/m/ds/rls/rpt/c21664.htm">http://www.state.gov/m/ds/rls/rpt/c21664.htm</a>.

(End of clause)

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2.4.6. CONTRACTOR IDENTIFICATION (JULY 2008)

Contract performance may require contractor personnel to attend meetings with government personnel and the public, work within government offices, and/or utilize government email.

Contractor personnel must take the following actions to identify themselves as non-federal employees:

- (1) Use an email signature block that shows name, the office being supported and company affiliation (e.g. "John Smith, Office of Human Resources, ACME Corporation Support Contractor");
- (2) Clearly identify themselves and their contractor affiliation in meetings;
- (3) Identify their contractor affiliation in Departmental e-mail and phone listings whenever contractor personnel are included in those listings; and
- (4) Contractor personnel may not utilize Department of State logos or indicia on business cards.
- 2.4.7. 652.232-70 PAYMENT SCHEDULE AND INVOICE SUBMISSION (FIXED-PRICE) (AUG 1999)
- (a) General. The Government shall pay the Contractor as full compensation for all work required, performed, and accepted under this contract the firm fixed-price stated in this contract.
- (b) Invoice Submission. The Contractor shall submit invoices in one original to the office shown below. To constitute a proper invoice, the invoice shall include all the items required by FAR 32.905(e).

Attn: Financial Management Center U.S. Embassy Tokyo (Invoice for PO# 19JA25-21-P-0014)

The Contractor may submit invoices electronically to Tokyolnvoices@state.gov.

(c) Contractor Remittance Address. The Government will make payment to the contractor's address stated on the cover page of this contract. The U.S. Government will provide the Contractor with an electronic funds transfer (EFT) form to fill out.

# 2.4.8. 652.236-70 ADDITIONAL SAFETY MEASURES (OCT 2017)

In addition to the safety/accident prevention requirements of FAR 52.236-13, Accident Prevention Alternate I, the contractor shall comply with the following additional safety measures.

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(a) High Risk Activities. If the project contains any of the following high risk activities, the contractor shall follow the section in the latest edition, as of the date of the solicitation, of the U.S. Army Corps of Engineers Safety and Health manual, EM 385-1-1, that corresponds to the high risk activity. Before work may proceed, the contractor must obtain approval from the COR of the written safety plan required by FAR 52.236-13, Accident Prevention Alternate I (see paragraph (f) below), containing specific hazard mitigation and control techniques.

- (1) Scaffolding;
- (2) Work at heights above 1.8 meters;
- (3) Trenching or other excavation greater than one (1) meter in depth;
- (4) Earth-moving equipment and other large vehicles;
- (5) Cranes and rigging;
- (6) Welding or cutting and other hot work;
- (7) Partial or total demolition of a structure;
- (8) Temporary wiring, use of portable electric tools, or other recognized electrical hazards. Temporary wiring and portable electric tools require the use of a ground fault circuit interrupter (GFCI) in the affected circuits; other electrical hazards may also require the use of a GFCI;
- (9) Work in confined spaces (limited exits, potential for oxygen less than 19.5 percent or combustible atmosphere, potential for solid or liquid engulfment, or other hazards considered to be immediately dangerous to life or health such as water tanks, transformer vaults, sewers, cisterns, etc.);
- (10) Hazardous materials a material with a physical or health hazard including but not limited to, flammable, explosive, corrosive, toxic, reactive or unstable, or any operations, which creates any kind of contamination inside an occupied building such as dust from demolition activities, paints, solvents, etc.; or
- (11) Hazardous noise levels as required in EM 385-1 Section 5B or local standards if more restrictive.
- (b) Safety and Health Requirements. The contractor and all subcontractors shall comply with the latest edition of the U.S. Army Corps of Engineers Safety and Health manual EM 385-1-1, or OSHA 29 CFR parts 1910 or 1926 if no EM 385-1-1 requirements are applicable, and the accepted contractor's written safety program.
- (c) Mishap Reporting. The contractor is required to report immediately all mishaps to the COR and the contracting officer. A "mishap" is any event causing injury, disease or illness, death, material loss or property damage, or incident causing environmental contamination. The mishap reporting requirement shall include fires, explosions, hazardous materials contamination, and other similar incidents that may threaten people, property, and equipment.
- (d) *Records*. The contractor shall maintain an accurate record on all mishaps incident to work performed under this contract resulting in death, traumatic injury, occupational disease, or damage to or theft of property, materials, supplies, or equipment. The contractor shall report this data in the manner prescribed by the contracting officer.

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(e) *Subcontracts*. The contractor shall insert this clause, including this paragraph (e), with appropriate changes in the designation of the parties, in subcontracts.

- (f) Written program. The plan required by paragraph (f)(1) of the clause entitled "Accident Prevention Alternate I" shall be known as the Site Safety and Health Plan (SSHP) and shall address any activities listed in paragraph (a) of this clause, or as otherwise required by the contracting officer/COR.
  - (1) The SSHP shall be submitted at least 10 working days prior to commencing any activity at the site.
  - (2) The plan must address developing activity hazard analyses (AHAs) for specific tasks. The AHAs shall define the activities being performed and identify the work sequences, the specific anticipated hazards, site conditions, equipment, materials, and the control measures to be implemented to eliminate or reduce each hazard to an acceptable level of risk. Work shall not begin until the AHA for the work activity has been accepted by the COR and discussed with all engaged in the activity, including the Contractor, subcontractor(s), and Government on-site representatives.
  - (3) The names of the Competent/Qualified Person(s) required for a particular activity (for example, excavations, scaffolding, fall protection, other activities as specified by EM 385-1-1) shall be identified and included in the AHA. Proof of their competency/qualification shall be submitted to the contracting officer or COR for acceptance prior to the start of that work activity. The AHA shall be reviewed and modified as necessary to address changing site conditions, operations, or change of competent/qualified person(s).

(End of clause)

- 2.4.9. DOSAR 652.237-72 Observance of Legal Holidays and Administrative Leave (APR 2004)
- (a) The Department of State observes the following days as holidays: (Note: Due to changes in observance of Japanese holidays each year, the holidays listed below are for reference only.)

Example of Holidays in year 2021 as follows:

U.S. Federal Holidays;

\*1st January, unless this day falls on a weekend (New Year's Day), third Monday in January (Martin Luther King, Jr.'s Birthday), third Monday in February (Washington's Birthday), last Monday in May (Memorial Day), 4th July, unless this day falls on a weekend, (Independence Day), first Monday in September (Labor Day), \*second Monday in October (Columbus Day), 11th November unless this day falls on a weekend (Veterans Day), fourth Thursday in November (Thanksgiving Day), and

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25th December, unless this day falls on a weekend (Christmas Day).

Japanese National Holidays;

\*1st January, (New Year's Day or Ganjitsu),

second Monday in January (Adult's Day or Seijin-no-hi),

11th February, unless this day falls on Sunday (National Foundation Day or Kenkoku Kinen-no-hi),

April 29, May 3-5 (Golden Week Holidays)

third Monday in July (Marine Day or Umi-no-hi),

11th August, (Mountain Day),

third Monday in September (Respect for the Aged Day or Keirou-no-hi),

23rd September, unless this day falls on Sunday (Autumn Vernal equinox Day or Shuubun-no-hi),

\*second Monday in October (Sports Day or Taiiku-no-hi),

3rd November, unless this day falls on Sunday (Culture Day or Bunka-no-hi)

23rd November, unless this day falls on Sunday (Labor Thanksgiving Day or Kinrou Kanshya-no-hi)

Any other day designated by Federal law, Executive Order, or Presidential Proclamation.

- (b) When New Year's Day, Independence Day, Veterans Day or Christmas Day falls on a Sunday, the following Monday is observed; if it falls on Saturday the preceding Friday is observed. Observance of such days by Government personnel shall not be cause for additional period of performance or entitlement to compensation except as set forth in the contract. If the Contractor's personnel work on a holiday, no form of holiday or other premium compensation will be reimbursed either as a direct or indirect cost, unless authorized pursuant to an overtime clause elsewhere in this contract.
- (c) When the Department of State grants administrative leave to its Government employees, assigned contractor personnel in Government facilities shall also be dismissed. However, the contractor agrees to continue to provide sufficient personnel to perform round-the-clock requirements of critical tasks already in operation or scheduled and shall be guided by the instructions issued by the contracting officer or his/her duly authorized representative.
- (d) For fixed-price contracts, if services are not required or provided because the building is closed due to inclement weather, unanticipated holidays declared by the President, failure of Congress to appropriate funds, or similar reasons, deductions will be computed as follows:
  - (1) The deduction rate in Japanese Yen or dollars per day will be equal to the per month contract price divided by 21 days per month.
  - (2) The deduction rate in Japanese Yen or dollars per day will be multiplied by the number of days services are not required or provided.

<sup>\*</sup>Day that holiday overlaps in both countries.

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If services are provided for portions of days, appropriate adjustment will be made by the contracting officer to ensure that the Contractor is compensated for services provided.

(e) If administrative leave is granted to contractor personnel as a result of conditions stipulated in any "Excusable Delays" clause of this contract, it will be without loss to the contractor. The cost of salaries and wages to the Contractor for the period of any such excused absence shall be a reimbursable item of direct cost hereunder for employees whose regular time is normally charged, and a reimbursable item of indirect cost for employees whose time is normally charged indirectly in accordance with the contractors accounting policy.

(End of clause)

# 2.4.10. 652.242-70 CONTRACTING OFFICER'S REPRESENTATIVE (COR) (AUG 1999)

- (a) The Contracting Officer may designate in writing one or more Government employees, by name or position title, to take action for the Contracting Officer under this contract. Each designee shall be identified as a Contracting Officer's Representative (COR). Such designation(s) shall specify the scope and limitations of the authority so delegated; provided, that the designee shall not change the terms or conditions of the contract, unless the COR is a warranted Contracting Officer and this authority is delegated in the designation.
- (b) The COR for this contract is the Maintenance Foreman/Inspector at the U.S. Consulate in Fukuoka, Japan.

# 2.4.11. 652.242-73 AUTHORIZATION AND PERFORMANCE (AUG 1999)

- (a) The Contractor warrants the following:
  - That is has obtained authorization to operate and do business in the country or countries in which this contract will be performed;
  - (2) That is has obtained all necessary licenses and permits required to perform this contract; and,
  - (3) That it shall comply fully with all laws, decrees, labor standards, and regulations of said country or countries during the performance of this contract.
- (b) If the party actually performing the work will be a subcontractor or joint venture partner, then such subcontractor or joint venture partner agrees to the requirements of paragraph (a) of this clause.

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## 3. SECTION 3 – SOLICITATION PROVISIONS

# 3.1. FAR 52.212-1 INSTRUCTIONS TO OFFERORS -- COMMERCIAL ITEMS (JUN 2020) is incorporated by reference

(See SF-18, Block 11(b)). No Addendum to 52.212-1

# 3.1.1. SUMMARY OF INSTRUCTIONS. Each offer must consist of the following:

# 3.1.1.1. A completed solicitation (SF-18) Blocks 11(e), 11(f), 13, 14, 15, and 16 have been filled out.

#### 3.1.1.2. Prices.

Section 1.2 of the RFQ. Quoters must include the currency which they are using for the pricing submission.

3.1.1.3. Representations and Certifications.

Consists of SECTION 5: Representations and Certifications (complete all portions that are applicable) of the RFQ.

# 3.1.1.4. Technical Proposal

Consists of information demonstrating the quoter's ability to perform, including:

- (1) Name of a Project Manager (or other liaison to the Consulate) who understands written and spoken English.
- (2) Evidence that the quoter operates an established business with a permanent address and telephone listing.
- (3) List of clients over the past ten years, demonstrating prior experience with relevant past performance information and references (provide dates of contracts, places of performance, value of contracts, contact names, telephone and fax numbers and email addresses). If the offeror has not performed comparable services in Japan, then the offeror shall provide its international experience. Offerors are advised that the past performance information requested above may be discussed with the client's contact person. In addition, the client's contact person may be asked to comment on the offeror's:
  - Quality of services provided under the contract.
  - Compliance with contract terms and conditions.
  - Effectiveness of management.
  - Willingness to cooperate with and assist the customer in routine matters, and when confronted by unexpected difficulties; and
  - Business integrity / business conduct.

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The Government will use past performance information primarily to assess an offeror's capability to meet the solicitation performance requirements, including the relevance and successful performance of the offeror's work experience. The Government may also use this data to evaluate the credibility of the offeror's proposal. In addition, the Contracting Officer may use past performance information in deciding of responsibility.

- (4) Evidence that the offeror/quoter can provide the necessary personnel, equipment, and financial resources needed to perform the work.
- (5) The offeror shall address its plan to obtain all licenses and permits required by local law (see DOSAR 652.242-73 in Section 2). If offeror already possesses the locally required licenses and permits, its, a copy shall be provided. The offeror shall submit a copy of the first grade of Japanese Zoen Ginoushi or Japanese Landscape Gardening license.
- (6) The offeror's strategic plan for gardening services to include but not limited to:
  - (a) A work plan considering all work elements in Section 1, Performance Work Statement:
  - (b) Identify types and quantities of equipment, supplies and materials required for performance of services under this contract. Identify if the offeror already possesses the listed items and their condition for suitability and if not already possessed or inadequate for use how and when the items will be obtained.
  - (c) Plan of ensuring quality of services including but not limited to contract administration, oversight; and
  - (d) A copy of the Certificate of Insurance(s), or a statement that the Contractor will get the required insurance, and the name of the insurance provider to be used.

Submit the complete quotation to the indicated below by no later than 16:00 p.m., Thursday, December 17, 2020 (local time) via email shown below. (Not via Postal Mail). No quotations will be accepted after this time.

Point of Contact: Ayaka Ogawara Email: OgawaraAX@state.gov Subject: RFQ 19JA25-21-Q-0014

Office Extension: 092-751-8519

After submitted of quotation, quoter shall contact Ayaka Ogawara by phone or separate email to confirm the receipt of quotation.

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The quoter shall identify and explain/justify any deviations, exceptions, or conditional assumptions taken with respect to any of the instructions or requirements of this RFQ in the appropriate volume of the quote.

# 3.2. ADDENDUM TO SOLICITATION PROVISIONS FAR AND DOSAR PROVISIONS NOT PRESCRIBED IN PART 12

# 3.2.1. 52.252-1 SOLICITATION PROVISIONS INCORPORATED BY REFERENCE (FEB 1998)

This solicitation incorporates one or more solicitation provisions by reference, with the same force and effect as if they were given in full text. Upon request, the Contracting Officer will make their full text available. Also, the full text of a clause may be accessed electronically at: <a href="http://www.acquisition.gov/far/">http://farsite.hill.af.mil/vffara.htm</a>

These addresses are subject to change. If the FAR is not available at the locations indicated above, use of an internet "search engine" (for example, Google, Yahoo, Excite) is suggested to obtain the latest location of the most current FAR provisions.

The following Federal Acquisition Regulation solicitation provision(s) is/are incorporated by reference:

PROVISION TITLE AND DATE	
52.204-7 SYSTEM FOR AWARD MANAGEMENT (OCT 2018)	
52.204-16 COMMERCIAL AND GOVERNMENT ENTITY CODE REPORTING	
(JUL 2016)	
52.214-34 SUBMISSION OF OFFERS IN THE ENGLISH LANGUAGE (APR 19	91)
52.237-1 SITE VISIT (APR 1984)	

The pre-quotation conference and site visit will be held on Thursday, December 3, 2020, from 1:00 p.m. to 3:00 p.m. at the U.S. Consulate Fukuoka, 2-5-26 Ohori, Chuo-ku, Fukuoka 810-0052. Prospective quoters should provide a list of attendees with individual names and company name, including telephone numbers and e-mail address, to Ayaka Ogawara via email at <a href="mailto:ogawaraAX@state.gov">ogawaraAX@state.gov</a> to arrange entry to the Consulate.

The following DOSAR provision(s) is/are provided in full text:

#### 3.2.2. 652.206-70 ADVOCATE FOR COMPETITION/OMBUDSMAN (FEB 2015)

(a) The Department of State's Advocate for Competition is responsible for assisting industry in removing restrictive requirements from Department of State solicitations and removing barriers to full and open competition and use of commercial items. If such a solicitation is considered competitively restrictive or does not appear properly conducive

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to competition and commercial practices, potential offerors are encouraged first to contact the contracting office for the solicitation. If concerns remain unresolved, contact:

- (1) For solicitations issued by the Office of Acquisition Management (A/LM/AQM) or a Regional Procurement Support Office, the A/LM/AQM Advocate for Competition, at AQMCompetitionAdvocate@state.gov.
- (2) For all others, the Department of State Advocate for Competition at <a href="mailto:cat@state.gov">cat@state.gov</a>.
- (b) The Department of State's Acquisition Ombudsman has been appointed to hear concerns from potential offerors and contractors during the pre-award and post-award phases of this acquisition. The role of the ombudsman is not to diminish the authority of the contracting officer, the Technical Evaluation Panel or Source Evaluation Board, or the selection official. The purpose of the ombudsman is to facilitate the communication of concerns, issues, disagreements, and recommendations of interested parties to the appropriate Government personnel, and work to resolve them. When requested and appropriate, the ombudsman will maintain strict confidentiality as to the source of the concern. The ombudsman does not participate in the evaluation of proposals, the source selection process, or the adjudication of formal contract disputes. Interested parties are invited to contact the contracting activity ombudsman, Minister-Counselor for Management Affairs, at Tel: 03-3224-5585 or FAX: 03-3224-5303. For an American Embassy or overseas post, refer to the numbers below for the Department Acquisition Ombudsman.

Concerns, issues, disagreements, and recommendations which cannot be resolved at a contracting activity level may be referred to the Department of State Acquisition Ombudsman at (703) 516-1696 or write to:

Department of State
Acquisition Ombudsman
Office of the Procurement Executive (A/OPE)
Suite 1060, SA-15
Washington, DC 20520.

(End of provision)

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### 4. SECTION 4 - EVALUATION FACTORS

#### 4.1. Evaluation Factors

The U.S. Government intends to award a contract resulting from this Request for Quotations to the lowest priced, technically acceptable quoter who is a responsible contractor. The evaluation process shall include the following:

- (a) Compliance Review. The U.S. Government will perform an initial review of quotations received to determine compliance with the terms of the Request for Quotations. The U.S. Government may reject as unacceptable quotations which do not conform to the Request for Quotations.
- (b) Technical Acceptability. Technical acceptability will include a review of past performance and experience as defined in SECTION 3, along with any technical information provided by the quoter with its quotation.
- (c) Price Evaluation. The lowest price will be determined by multiplying the offered price times the quantity in subsection 1.2 Pricing under SECTION 1: The Schedule, and arriving at a grand total, including all options. The U.S. Government reserves the right to reject quotations that are unreasonably low or high in price.
- (d) Responsibility Determination. The U.S. Government will determine contractor responsibility. Responsibility will be determined by analyzing whether the apparent successful quoter complies with the requirements of FAR 9.1, including:
  - adequate financial resources or the ability to obtain them;
  - ability to comply with the required performance period, taking into consideration all existing commercial and governmental business commitments;
  - satisfactory record of integrity and business ethics;
  - necessary organization, experience, and skills or the ability to obtain them;
  - necessary equipment and facilities or the ability to obtain them; and
  - otherwise qualified and eligible to receive an award under applicable laws and regulations.

Discussions. The U.S. Government intends to evaluate quotes and award the contract without discussions with quoters. Therefore, the quoter's initial quote should contain the quoter's best terms from a price and technical standpoint. However, the U.S. Government reserves the right to conduct discussions if later determined by the Contracting Officer to be necessary. The U.S. Government may reject any or all quotes if such action is in the public interest; accept other than lowest quote; and waive informalities and minor irregularities in quotes received.

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## 4.2. ADDENDUM TO EVALUATION FACTORS FAR AND DOSAR PROVISION(S) NOT PRESCRIBED IN PART 12

The following FAR provision(s) is/are provided in full text:

### 4.2.1. 52.217-5 EVALUATION OF OPTIONS (JUL 1990)

The Government will evaluate offers for award purposes by adding the total price for all options to the total price for the basic requirement. Evaluation of options will not obligate the Government to exercise the option(s).

## 4.2.2. 52.225-17 EVALUATION OF FOREIGN CURRENCY OFFERS (FEB 2000)

If the Government receives offers in more than one currency, the Government will evaluate offers by converting the foreign currency to United States currency using the exchange rate used by the Embassy in effect as follows:

- (a) For acquisitions conducted using sealed bidding procedures, on the date of bid opening.
- (b) For acquisitions conducted using negotiation procedures—
  - (1) On the date specified for receipt of offers, if award is based on initial offers; otherwise
  - (2) On the date specified for receipt of proposal revisions.

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#### 5. SECTION 5 - REPRESENTATIONS AND CERTIFICATIONS

# 5.1. 52.204-24 Representation Regarding Certain Telecommunications and Video Surveillance Services or Equipment (OCT 2020).

The Offeror shall not complete the representation at paragraph (d)(1) of this provision if the offeror has represented that it "does not provide covered telecommunications equipment or services as a part of its offered products or services to the Government in the performance of any contract, subcontract, or other contractual instrument" in paragraph (c)(1) in the provision at 52.204-26, Covered Telecommunications Equipment or Services—Representation, or in paragraph (v)(2)(i) of the provision at 52.212-3, Offeror Representations and Certifications—Commercial Items. The Offeror shall not complete the representation in paragraph (d)(2) of this provision if the Offeror has represented that it "does not use covered telecommunications equipment or services, or any equipment, system, or service that uses covered telecommunications equipment or services" in paragraph (c)(2) of the provision at 52.204-26, or in paragraph (v)(2)(ii) of the provision at 52.212-3.

(a) Definitions. As used in this provision—

Backhaul, covered telecommunications equipment or services, critical technology, interconnection arrangements, reasonable inquiry, roaming, and substantial or essential component have the meanings provided in the clause 52.204-25, Prohibition on Contracting for Certain Telecommunications and Video Surveillance Services or Equipment.

### (b) Prohibition.

- (1) Section 889(a)(1)(A) of the John S. McCain National Defense Authorization Act for Fiscal Year 2019 (Pub. L. 115-232) prohibits the head of an executive agency on or after August 13, 2019, from procuring or obtaining, or extending or renewing a contract to procure or obtain, any equipment, system, or service that uses covered telecommunications equipment or services as a substantial or essential component of any system, or as critical technology as part of any system. Nothing in the prohibition shall be construed to—
  - Prohibit the head of an executive agency from procuring with an entity to provide a service that connects to the facilities of a third-party, such as backhaul, roaming, or interconnection arrangements; or
  - (ii) Cover telecommunications equipment that cannot route or redirect user data traffic or cannot permit visibility into any user data or packets that such equipment transmits or otherwise handles.
- (2) Section 889(a)(1)(B) of the John S. McCain National Defense Authorization Act for Fiscal Year 2019 (Pub. L. 115-232) prohibits the head of an executive agency on or after August 13, 2020, from entering into a contract or extending or renewing a contract with an entity that uses any equipment, system, or service that uses covered telecommunications equipment or services as a substantial or essential component of any system, or as critical technology as part of any system. This prohibition applies to the use of covered telecommunications equipment or services, regardless of whether that use is in

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performance of work under a Federal contract. Nothing in the prohibition shall be construed to—

- Prohibit the head of an executive agency from procuring with an entity to provide a service that connects to the facilities of a third-party, such as backhaul, roaming, or interconnection arrangements; or
- (ii) Cover telecommunications equipment that cannot route or redirect user data traffic or cannot permit visibility into any user data or packets that such equipment transmits or otherwise handles.
- (c) Procedures. The Offeror shall review the list of excluded parties in the System for Award Management (SAM) (https://www.sam.gov) for entities excluded from receiving federal awards for "covered telecommunications equipment or services".
- (d) Representation. The Offeror represents that—
  - (1) It \_ will, \_\_ will not provide covered telecommunications equipment or services to the Government in the performance of any contract, subcontract or other contractual instrument resulting from this solicitation. The Offeror shall provide the additional disclosure information required at paragraph (e)(1) of this section if the Offeror responds "will" in paragraph (d)(1) of this section; and
  - (2) After conducting a reasonable inquiry, for purposes of this representation, the Offeror represents that—

It \_\_\_ does, \_\_\_ does not use covered telecommunications equipment or services, or use any equipment, system, or service that uses covered telecommunications equipment or services. The Offeror shall provide the additional disclosure information required at paragraph (e)(2) of this section if the Offeror responds "does" in paragraph (d)(2) of this section.

### (e) Disclosures.

- (1) Disclosure for the representation in paragraph (d)(1) of this provision. If the Offeror has responded "will" in the representation in paragraph (d)(1) of this provision, the Offeror shall provide the following information as part of the offer:
  - (i) For covered equipment—
    - (A) The entity that produced the covered telecommunications equipment (include entity name, unique entity identifier, CAGE code, and whether the entity was the original equipment manufacturer (OEM) or a distributor, if known);
    - (B) A description of all covered telecommunications equipment offered (include brand; model number, such as OEM number, manufacturer part number, or wholesaler number; and item description, as applicable); and

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(C) Explanation of the proposed use of covered telecommunications equipment and any factors relevant to determining if such use would be permissible under the prohibition in paragraph (b)(1) of this provision.

### (ii) For covered services—

- (A) If the service is related to item maintenance: A description of all covered telecommunications services offered (include on the item being maintained: Brand; model number, such as OEM number, manufacturer part number, or wholesaler number; and item description, as applicable); or
- (B) If not associated with maintenance, the Product Service Code (PSC) of the service being provided; and explanation of the proposed use of covered telecommunications services and any factors relevant to determining if such use would be permissible under the prohibition in paragraph (b)(1) of this provision.
- (2) Disclosure for the representation in paragraph (d)(2) of this provision. If the Offeror has responded "does" in the representation in paragraph (d)(2) of this provision, the Offeror shall provide the following information as part of the offer:
  - (i) For covered equipment—
    - (A) The entity that produced the covered telecommunications equipment (include entity name, unique entity identifier, CAGE code, and whether the entity was the OEM or a distributor, if known);
    - (B) A description of all covered telecommunications equipment offered (include brand; model number, such as OEM number, manufacturer part number, or wholesaler number; and item description, as applicable); and
    - (C) Explanation of the proposed use of covered telecommunications equipment and any factors relevant to determining if such use would be permissible under the prohibition in paragraph (b)(2) of this provision.
  - (ii) For covered services—
    - (A) If the service is related to item maintenance: A description of all covered telecommunications services offered (include on the item being maintained: Brand; model number, such as OEM number, manufacturer part number, or wholesaler number; and item description, as applicable); or
    - (B) If not associated with maintenance, the PSC of the service being provided; and explanation of the proposed use of covered telecommunications services and any factors relevant to determining if such use would be permissible under the prohibition in paragraph (b)(2) of this provision.

(End of provision)

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## 5.2. 52.212–3 Offeror Representations and Certifications—Commercial Items (OCT 2020)

The Offeror shall complete only paragraph (b) of this provision if the Offeror has completed the annual representations and certification electronically in the System for Award Management (SAM) accessed through <a href="https://www.sam.gov">https://www.sam.gov</a>. If the Offeror has not completed the annual representations and certifications electronically, the Offeror shall complete only paragraphs (c) through (v)) of this provision.

(a) *Definitions*. As used in this provision—
"Covered telecommunications equipment or services" has the meaning provided in the clause <u>52.204-25</u>, Prohibition on Contracting for Certain Telecommunications and Video Surveillance Services or Equipment.

Economically disadvantaged women-owned small business (EDWOSB) concern means a small business concern that is at least 51 percent directly and unconditionally owned by, and the management and daily business operations of which are controlled by, one or more women who are citizens of the United States and who are economically disadvantaged in accordance with 13 CFR part 127. It automatically qualifies as a women-owned small business eligible under the WOSB Program.

Forced or indentured child labor means all work or service—

- (1) Exacted from any person under the age of 18 under the menace of any penalty for its nonperformance and for which the worker does not offer himself voluntarily; or
- (2) Performed by any person under the age of 18 pursuant to a contract the enforcement of which can be accomplished by process or penalties.

Highest-level owner means the entity that owns or controls an immediate owner of the offeror, or that owns or controls one or more entities that control an immediate owner of the offeror. No entity owns or exercises control of the highest-level owner.

*Immediate owner* means an entity, other than the offeror, that has direct control of the offeror. Indicators of control include, but are not limited to, one or more of the following: ownership or interlocking management, identity of interests among family members, shared facilities and equipment, and the common use of employees.

*Inverted domestic corporation*, means a foreign incorporated entity that meets the definition of an inverted domestic corporation under <u>6 U.S.C. 395(b)</u>, applied in accordance with the rules and definitions of 6 U.S.C. 395(c).

Manufactured end product means any end product in product and service codes (PSCs) 1000-9999, except—

- (1) PSC 5510, Lumber and Related Basic Wood Materials;
- (2) Product or Service Group (PSG) 87, Agricultural Supplies;
- (3) PSG 88, Live Animals;
- (4) PSG 89, Subsistence;
- (5) PSC 9410, Crude Grades of Plant Materials;
- (6) PSC 9430, Miscellaneous Crude Animal Products, Inedible;
- (7) PSC 9440, Miscellaneous Crude Agricultural and Forestry Products;

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- (8) PSC 9610, Ores;
- (9) PSC 9620, Minerals, Natural and Synthetic; and
- (10)PSC 9630, Additive Metal Materials.

Place of manufacture means the place where an end product is assembled out of components, or otherwise made or processed from raw materials into the finished product that is to be provided to the Government. If a product is disassembled and reassembled, the place of reassembly is not the place of manufacture.

*Predecessor* means an entity that is replaced by a successor and includes any predecessors of the predecessor.

Reasonable inquiry has the meaning provided in the clause 52.204–25, Prohibition on Contracting for Certain Telecommunications and Video Surveillance Services or Equipment.

Restricted business operations means business operations in Sudan that include power production activities, mineral extraction activities, oil-related activities, or the production of military equipment, as those terms are defined in the Sudan Accountability and Divestment Act of 2007 (Pub. L. 110-174). Restricted business operations do not include business operations that the person (as that term is defined in Section 2 of the Sudan Accountability and Divestment Act of 2007) conducting the business can demonstrate—

- (1) Are conducted under contract directly and exclusively with the regional government of southern Sudan;
- (2) Are conducted pursuant to specific authorization from the Office of Foreign Assets Control in the Department of the Treasury, or are expressly exempted under Federal law from the requirement to be conducted under such authorization;
- (3) Consist of providing goods or services to marginalized populations of Sudan;
- (4) Consist of providing goods or services to an internationally recognized peacekeeping force or humanitarian organization;
- (5) Consist of providing goods or services that are used only to promote health or education; or
- (6) Have been voluntarily suspended.

#### Sensitive technology—

- (1) Means hardware, software, telecommunications equipment, or any other technology that is to be used specifically—
  - (i) To restrict the free flow of unbiased information in Iran; or
  - (ii) To disrupt, monitor, or otherwise restrict speech of the people of Iran; and
- (2) Does not include information or informational materials the export of which the President does not have the authority to regulate or prohibit pursuant to section 203(b)(3)of the International Emergency Economic Powers Act (50 U.S.C. 1702(b)(3)).

#### Service-disabled veteran-owned small business concern—

- (1) Means a small business concern—
  - (i) Not less than 51 percent of which is owned by one or more service-disabled veterans or, in the case of any publicly owned business, not less than 51 percent of the stock of which is owned by one or more service-disabled veterans; and
  - (ii) The management and daily business operations of which are controlled by one or more service-disabled veterans, or, in the case of a service-disabled veteran with

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permanent and severe disability, the spouse or permanent caregiver of such veteran.

(2) Service-disabled veteran means a veteran, as defined in <u>38 U.S.C. 101(2)</u>, with a disability that is service connected, as defined in <u>38 U.S.C. 101(16)</u>.

Small business concern means a concern, including its affiliates, that is independently owned and operated, not dominant in the field of operation in which it is bidding on Government contracts, and qualified as a small business under the criteria in 13 CFR Part 121 and size standards in this solicitation.

*Small disadvantaged business concern*, consistent with 13 CFR 124.1002, means a small business concern under the size standard applicable to the acquisition, that—

- (1) Is at least 51 percent unconditionally and directly owned (as defined at 13 CFR 124.105) by—
  - (i) One or more socially disadvantaged (as defined at13 CFR 124.103) and economically disadvantaged (as defined at 13 CFR 124.104) individuals who are citizens of the United States; and
  - (ii) Each individual claiming economic disadvantage has a net worth not exceeding \$750,000 after taking into account the applicable exclusions set forth at 13 CFR124.104(c)(2); and
- (2) The management and daily business operations of which are controlled (as defined at 13.CFR 124.106) by individuals, who meet the criteria in paragraphs (1)(i) and (ii) of this definition.

Subsidiary means an entity in which more than 50 percent of the entity is owned—

- (1) Directly by a parent corporation; or
- (2) Through another subsidiary of a parent corporation

Successor means an entity that has replaced a predecessor by acquiring the assets and carrying out the affairs of the predecessor under a new name (often through acquisition or merger). The term "successor" does not include new offices/divisions of the same company or a company that only changes its name. The extent of the responsibility of the successor for the liabilities of the predecessor may vary, depending on State law and specific circumstances.

Veteran-owned small business concern means a small business concern—

- (1) Not less than 51 percent of which is owned by one or more veterans (as defined at 38 U.S.C. 101(2)) or, in the case of any publicly owned business, not less than 51 percent of the stock of which is owned by one or more veterans; and
- (2) The management and daily business operations of which are controlled by one or more veterans.

Women-owned small business (WOSB) concern eligible under the WOSB Program (in accordance with 13 CFR part 127), means a small business concern that is at least 51 percent directly and unconditionally owned by, and the management and daily business operations of which are controlled by, one or more women who are citizens of the United States. Women-owned small business concern means a small business concern—

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(1) That is at least 51 percent owned by one or more women; or, in the case of any publicly owned business, at least51 percent of the stock of which is owned by one or more women; and

(2) Whose management and daily business operations are controlled by one or more women.

(b)

- (1) Annual Representations and Certifications. Any changes provided by the Offeror in paragraph (b)(2) of this provision do not automatically change the representations and certifications in SAM
- (2) The offeror has completed the annual representations and certifications electronically in SAM accessed through <a href="http://www.sam.gov">http://www.sam.gov</a>. After reviewing SAM information, the Offeror verifies by submission of this offer that the representations and certifications currently posted electronically at FAR <a href="52.212-3">52.212-3</a>, Offeror Representations and Certifications-Commercial Items, have been entered or updated in the last 12 months, are current, accurate, complete, and applicable to this solicitation (including the business size standard(s) applicable to the NAICS code(s) referenced for this solicitation), at the time this offer is submitted and are incorporated in this offer by reference (see FAR <a href="4.1201">4.1201</a>), except for paragraphs \_\_\_\_\_\_\_.

[Offeror to identify the applicable paragraphs at (c) through (v) of this provision that the offeror has completed for the purposes of this solicitation only, if any.

These amended representation(s) and/or certification(s) are also incorporated in this offer and are current, accurate, and complete as of the date of this offer.

Any changes provided by the offeror are applicable to this solicitation only, and do not result in an update to the representations and certifications posted electronically on SAM.]

- (c) Reserved
- (d) Reserved
- (e) Certification Regarding Payments to Influence Federal Transactions
  (31 <a href="http://uscode.house.gov/">http://uscode.house.gov/</a> U.S.C. 1352). By submission of its offer, the offeror certifies to the best of its knowledge and belief that no Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress or an employee of a Member of Congress on his or her behalf in connection with the award of any resultant contract. If any registrants under the Lobbying Disclosure Act of 1995 have made a lobbying contact on behalf of the offeror with respect to this contract, the offeror shall complete and submit, with its offer, OMB Standard Form LLL, Disclosure of Lobbying Activities, to provide the name of the registrants. The offeror need not report regularly employed officers or employees of the offeror to whom payments of reasonable compensation were made.
- (f) Reserved
- (g) Reserved

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- (h) Certification Regarding Responsibility Matters (Executive Order 12689). (Applies only if the contract value is expected to exceed the simplified acquisition threshold.) The offeror certifies, to the best of its knowledge and belief, that the offeror and/or any of its principals—

  (1) \_\_\_Are, \_\_\_ are not presently debarred, suspended, proposed for debarment, or declared ineligible for the award of contracts by any Federal agency;
  (2) \_\_\_Have, \_\_\_ have not, within a three-year period preceding this offer, been convicted of or had a sixil judgment rendered assists them for semanticion of fraud are a criminal efforce.
  - (2) \_\_\_\_Have, \_\_\_\_ have not, within a three-year period preceding this offer, been convicted of or had a civil judgment rendered against them for: commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a Federal, state or local government contract or subcontract; violation of Federal or state antitrust statutes relating to the submission of offers; or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, tax evasion, violating Federal criminal tax laws, or receiving stolen property;
  - (3) \_\_\_Are, \_\_\_ are not presently indicted for, or otherwise criminally or civilly charged by a Government entity with, commission of any of these offenses enumerated in paragraph (h)(2) of this clause; and
  - (4) \_\_\_\_Have, □ have not, within a three-year period preceding this offer, been notified of any delinquent Federal taxes in an amount that exceeds \$3,500 for which the liability remains unsatisfied.
    - (i) Taxes are considered delinquent if both of the following criteria apply:
      - (A) The tax liability is finally determined. The liability is finally determined if it has been assessed. A liability is not finally determined if there is a pending administrative or judicial challenge. In the case of a judicial challenge to the liability, the liability is not finally determined until all judicial appeal rights have been exhausted.
      - (B) The taxpayer is delinquent in making payment. A taxpayer is delinquent if the taxpayer has failed to pay the tax liability when full payment was due and required. A taxpayer is not delinquent in cases where enforced collection action is precluded.
    - (ii) Examples.
      - (A) The taxpayer has received a statutory notice of deficiency, under I.R.C. §6212, which entitles the taxpayer to seek Tax Court review of a proposed tax deficiency. This is not a delinquent tax because it is not a final tax liability. Should the taxpayer seek Tax Court review, this will not be a final tax liability until the taxpayer has exercised all judicial appeal rights.
      - (B) The IRS has filed a notice of Federal tax lien with respect to an assessed tax liability, and the taxpayer has been issued a notice under I.R.C. §6320 entitling the taxpayer to request a hearing with the IRS Office of Appeals contesting the lien filing, and to further appeal to the Tax Court if the IRS determines to sustain the lien filing. In the course of the hearing, the taxpayer is entitled to contest the underlying tax liability because the taxpayer has had no prior opportunity to contest the liability. This is not a delinquent tax because it is not a final tax liability. Should the taxpayer seek tax court review, this will not be a final tax liability until the taxpayer has exercised all judicial appeal rights.
      - (C) The taxpayer has entered into an installment agreement pursuant to I.R.C. §6159. The taxpayer is making timely payments and is in full compliance with the agreement terms. The taxpayer is not delinquent because the taxpayer is not currently required to make full payment.
      - (D) The taxpayer has filed for bankruptcy protection. The taxpayer is not delinquent because enforced collection action is stayed under 11 U.S.C. §362 (the Bankruptcy Code).

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- (i) Reserved
- (i) Reserved
- (k) Certificates regarding exemptions from the application of the Service Contract Labor Standards (Certification by the offeror as to its compliance with respect to the contract also constitutes its certification as to compliance by its subcontractor if it subcontracts out the exempt services.) [The contracting officer is to check a box to indicate if paragraph (k)(1) or (k)(2) applies.]
  - (1) \_\_\_ Maintenance, calibration, or repair of certain equipment as described in FAR  $\underline{22.1003}$ - $\underline{4}(c)(1)$ . The offeror \_\_\_ does \_\_\_ does not certify that—
    - (i) The items of equipment to be serviced under this contract are used regularly for other than Governmental purposes and are sold or traded by the offeror (or subcontractor in the case of an exempt subcontract) in substantial quantities to the general public in the course of normal business operations;
    - (ii) The services will be furnished at prices which are, or are based on, established catalog or market prices (see FAR <u>22.1003-4(c)(2)(ii)</u>) for the maintenance, calibration, or repair of such equipment; and
    - (iii) The compensation (wage and fringe benefits) plan for all service employees performing work under the contract will be the same as that used for these employees and equivalent employees servicing the same equipment of commercial customers.
  - (2) Certain services as described in FAR <u>22.1003-4</u>(d)(1). The offeror <u>does</u> does not certify that-
    - (i) The services under the contract are offered and sold regularly to non-Governmental customers, and are provided by the offeror (or subcontractor in the case of an exempt subcontract) to the general public in substantial quantities in the course of normal business operations;
    - (ii) The contract services will be furnished at prices that are, or are based on, established catalog or market prices (see FAR <u>22.1003-4(d)(2)(iii))</u>;
    - (iii) Each service employee who will perform the services under the contract will spend only a small portion of his or her time (a monthly average of less than 20 percent of the available hours on an annualized basis, or less than 20 percent of available hours during the contract period if the contract period is less than a month) servicing the Government contract; and
    - (iv) The compensation (wage and fringe benefits) plan for all service employees performing work under the contract is the same as that used for these employees and equivalent employees servicing commercial customers.
  - (3) If paragraph (k)(1) or (k)(2) of this clause applies—
    - (i) If the offeror does not certify to the conditions in paragraph (k)(1) or (k)(2) and the Contracting Officer did not attach a Service Contract Labor Standards wage determination to the solicitation, the offeror shall notify the Contracting Officer as soon as possible; and
    - (ii) The Contracting Officer may not make an award to the offeror if the offeror fails to execute the certification in paragraph (k)(1) or (k)(2) of this clause or to contact the Contracting Officer as required in paragraph (k)(3)(i) of this clause.
- (I) Taxpayer Identification Number (TIN) ( 26 U.S.C. 6109, 31 U.S.C. 7701). (Not applicable if the offeror is required to provide this information to the SAM to be eligible for award.)

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(1) All offerors must submit the information required in paragraphs (I)(3) through (I)(5) of this provision to comply with debt collection requirements of 31 U.S.C. 7701(c) and 3325(d), reporting requirements of 26 U.S.C. 6041, 6041A, and 6050M, and implementing regulations issued by the Internal Revenue Service (IRS).

(2) The TIN may be used by the Government to collect and report on any delinquent amounts arising out of the offeror's relationship with the Government (31 U.S.C. 7701(c)(3)). If the resulting contract is subject to the payment reporting requirements described in FAR 4.904, the TIN provided hereunder may be matched with IRS records to verify the accuracy of the offeror's TIN.

		offeror's rin.
	(3)	Taxpayer Identification Number (TIN).
		TIN:
		TIN has been applied for.
		TIN is not required because:
		Offeror is a nonresident alien, foreign corporation, or foreign partnership that
		does not have income effectively connected with the conduct of a trade or
		business in the United States and does not have an office or place of business or a
		fiscal paying agent in the United States;
		Offeror is an agency or instrumentality of a foreign government;
		Offeror is an agency or instrumentality of the Federal Government.
	(4)	Type of organization.
		Sole proprietorship;
		Partnership;
		Corporate entity (not tax-exempt);
		Corporate entity (tax-exempt);
		Government entity (Federal, State, or local);
		Foreign government;
		International organization per 26 CFR1.6049-4;
		Other
	(5)	Common parent.
		Offeror is not owned or controlled by a common parent;
		Name and TIN of common parent:
		Name
		TIN
(m)		tricted business operations in Sudan. By submission of its offer, the offeror certifies that the
	offe	eror does not conduct any restricted business operations in Sudan.
, ,	_	
(n)		hibition on Contracting with Inverted Domestic Corporations.
	(1)	Government agencies are not permitted to use appropriated (or otherwise made available)
		funds for contracts with either an inverted domestic corporation, or a subsidiary of an
		inverted domestic corporation, unless the exception at 9.108-2(b) applies or the
	(2)	requirement is waived in accordance with the procedures at <u>9.108-4</u> .
	(2)	Representation. The Offeror represents that—
		(i) It is, is not an inverted domestic corporation; and
		(ii) It is, is not a subsidiary of an inverted domestic corporation.
(0)	Drob	nibition on contracting with entities engaging in certain activities or transactions relating to
(U)	riul	nibition on contracting with entities engaging in tertain activities of transactions relating to

Iran.

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- (1) The offeror shall e-mail questions concerning sensitive technology to the Department of State at CISADA106@state.gov.
- (2) Representation and Certifications. Unless a waiver is granted or an exception applies as provided in paragraph (o)(3) of this provision, by submission of its offer, the offeror-
  - (i) Represents, to the best of its knowledge and belief, that the offeror does not export any sensitive technology to the government of Iran or any entities or individuals owned or controlled by, or acting on behalf or at the direction of, the government of Iran:
  - (ii) Certifies that the offeror, or any person owned or controlled by the offeror, does not engage in any activities for which sanctions may be imposed under section 5 of the Iran Sanctions Act; and
  - (iii) Certifies that the offeror, and any person owned or controlled by the offeror, does not knowingly engage in any transaction that exceeds the threshold at FAR 25.703-2(a)(2) with Iran's Revolutionary Guard Corps or any of its officials, agents, or affiliates, the property and interests in property of which are blocked pursuant to the International Emergency Economic Powers Act (et seq.) (see OFAC's Specially Designated Nationals and Blocked Persons List at <a href="https://www.treasury.gov/resource-center/sanctions/SDN-List/Pages/default.aspx">https://www.treasury.gov/resource-center/sanctions/SDN-List/Pages/default.aspx</a>).
- (3) The representation and certification requirements of paragraph (o)(2) of this provision do not apply if-
  - (i) This solicitation includes a trade agreements certification (*e.g.*, <u>52.212-3</u>(g) or a comparable agency provision); and
  - (ii) The offeror has certified that all the offered products to be supplied are designated country end products.

(p)	<ul> <li>Ownership or Control of Offeror. (Applies in all solicitations when there is a requirement to be registered in SAM or a requirement to have a unique entity identifier in the solicitation).</li> <li>(1) The Offeror represents that it has or does not have an immediate owner. If the Offeror has more than one immediate owner (such as a joint venture), then the Offeror shall respond to paragraph (2) and if applicable, paragraph (3) of this provision for each participant in the joint venture.</li> </ul>			
	(2) If the Offeror indicates "has" in paragraph (p)(1) of this provision, enter the following information:  Immediate owner CAGE code:  Immediate owner legal name:  (Do not use a "doing business as" name)  Is the immediate owner owned or controlled by another entity: Yes or No.			
	(3) If the Offeror indicates "yes" in paragraph (p)(2) of this provision, indicating that the immediate owner is owned or controlled by another entity, then enter the following information:  Highest-level owner CAGE code:  Highest-level owner legal name:  (Do not use a "doing business as" name)			
(q)	Representation by Corporations Regarding Delinquent Tax Liability or a Felony Conviction under			

any Federal Law.

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- (1) As required by sections 744 and 745 of Division E of the Consolidated and Further Continuing Appropriations Act, 2015 (Pub. L. 113-235), and similar provisions, if contained in subsequent appropriations acts, The Government will not enter into a contract with any corporation that—
  - (i) Has any unpaid Federal tax liability that has been assessed, for which all judicial and administrative remedies have been exhausted or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability, where the awarding agency is aware of the unpaid tax liability, unless an agency has considered suspension or debarment of the corporation and made a determination that suspension or debarment is not necessary to protect the interests of the Government; or
  - (ii) Was convicted of a felony criminal violation under any Federal law within the preceding 24 months, where the awarding agency is aware of the conviction, unless an agency has considered suspension or debarment of the corporation and made a determination that this action is not necessary to protect the interests of the Government.

(2)	The	Offeror represents that—
	(i)	It is is not a corporation that has any unpaid Federal tax liability that has been
		assessed, for which all judicial and administrative remedies have been exhausted or
		have lapsed, and that is not being paid in a timely manner pursuant to an agreement
		with the authority responsible for collecting the tax liability; and
	(ii)	It is is not a corporation that was convicted of a felony criminal violation under
		a Federal law within the preceding 24 months.

(r)	Predecessor of Offeror. (Applies in all solicitations that include the provision at 52.204-16,
	Commercial and Government Entity Code Reporting.)

- (1) The Offeror represents that it \_\_\_ is or \_\_\_ is not a successor to a predecessor that held a Federal contract or grant within the last three years.
- (2) If the Offeror has indicated "is" in paragraph (r)(1) of this provision, enter the following information for all predecessors that held a Federal contract or grant within the last three years (if more than one predecessor, list in reverse chronological order):

Predecessor CAGE code: (or mark "I	Unknown").			
Predecessor legal name:		Do not use a '	"doing business as"	' name)

#### (s) Reserved

- (t) Public Disclosure of Greenhouse Gas Emissions and Reduction Goals. Applies in all solicitations that require offerors to register in SAM (12.301(d)(1)).
  - (1) This representation shall be completed if the Offeror received \$7.5 million or more in contract awards in the prior Federal fiscal year. The representation is optional if the Offeror received less than \$7.5 million in Federal contract awards in the prior Federal fiscal year.
  - (2) Representation. [Offeror to check applicable block(s) in paragraph (t)(2)(i) and (ii)].
    - (i) The Offeror (itself or through its immediate owner or highest-level owner) \_\_\_ does, \_\_\_ does not publicly disclose greenhouse gas emissions, i.e., makes available on a publicly accessible website the results of a greenhouse gas inventory, performed in accordance with an accounting standard with publicly available and consistently applied criteria, such as the Greenhouse Gas Protocol Corporate Standard.
    - (ii) The Offeror (itself or through its immediate owner or highest-level owner) \_\_\_ does, \_\_\_ does not publicly disclose a quantitative greenhouse gas

- emissions reduction goal, i.e., make available on a publicly accessible website a target to reduce absolute emissions or emissions intensity by a specific quantity or percentage.
- (iii) A publicly accessible website includes the Offeror's own website or a recognized, third-party greenhouse gas emissions reporting program.
- (3) If the Offeror checked "does" in paragraphs (t)(2)(i) or (t)(2)(ii) of this provision, respectively, the Offeror shall provide the publicly accessible website(s) where greenhouse gas emissions and/or reduction goals are reported:\_\_\_\_\_\_\_\_.

(u)

- (1) In accordance with section 743 of Division E, Title VII, of the Consolidated and Further Continuing Appropriations Act, 2015 (Pub. L. 113-235) and its successor provisions in subsequent appropriations acts (and as extended in continuing resolutions), Government agencies are not permitted to use appropriated (or otherwise made available) funds for contracts with an entity that requires employees or subcontractors of such entity seeking to report waste, fraud, or abuse to sign internal confidentiality agreements or statements prohibiting or otherwise restricting such employees or subcontractors from lawfully reporting such waste, fraud, or abuse to a designated investigative or law enforcement representative of a Federal department or agency authorized to receive such information.
- (2) The prohibition in paragraph (u)(1) of this provision does not contravene requirements applicable to Standard Form 312 (Classified Information Nondisclosure Agreement), Form 4414 (Sensitive Compartmented Information Nondisclosure Agreement), or any other form issued by a Federal department or agency governing the nondisclosure of classified information.
- (3) Representation. By submission of its offer, the Offeror represents that it will not require its employees or subcontractors to sign or comply with internal confidentiality agreements or statements prohibiting or otherwise restricting such employees or subcontractors from lawfully reporting waste, fraud, or abuse related to the performance of a Government contract to a designated investigative or law enforcement representative of a Federal department or agency authorized to receive such information (e.g., agency Office of the Inspector General).
- (v) Covered Telecommunications Equipment or Services-Representation. Section 889(a)(1)(A) of Public Law 115-232.

The Offeror shall review the list of excluded parties in the System for Award Management (SAM) (https://www.sam.gov) for entities excluded from receiving federal awards for "covered telecommunications equipment or services".

The Offeror represents that—

- (i) It \_\_\_ does, \_\_\_ does not provide covered telecommunications equipment or services as a part of its offered products or services to the Government in the performance of any contract, subcontract, or other contractual instrument.
- (ii) After conducting a reasonable inquiry for purposes of this representation, that it \_\_\_does, \_\_\_ does not use covered telecommunications equipment or services, or any equipment, system, or service that uses covered telecommunications equipment or services.

(End of Provision)

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## 5.3. 52.229-11 Tax on Certain Foreign Procurements—Notice and Representation (JUN 2020)

(a) *Definitions*. As used in this provision—

Foreign person means any person other than a United States person.

Specified Federal procurement payment means any payment made pursuant to a contract with a foreign contracting party that is for goods, manufactured or produced, or services provided in a foreign country that is not a party to an international procurement agreement with the United States. For purposes of the prior sentence, a foreign country does not include an outlying area.

United States person as defined in 26 U.S.C. 7701(a)(30) means—

- (1) A citizen or resident of the United States;
- (2) A domestic partnership;
- (3) A domestic corporation;
- (4) Any estate (other than a foreign estate, within the meaning of 26 U.S.C. 701(a)(31)); and
- (5) Any trust if—
  - (i) A court within the United States is able to exercise primary supervision over the administration of the trust; and
  - (ii) One or more United States persons have the authority to control all substantial decisions of the trust.
- (b) Unless exempted, there is a 2 percent tax of the amount of a specified Federal procurement payment on any foreign person receiving such payment. See 26 U.S.C. 5000C and its implementing regulations at 26 CFR 1.5000C-1 through 1.5000C-7.
- (c) Exemptions from withholding under this provision are described at 26 CFR 1.5000C-1(d)(5) through (7). The Offeror would claim an exemption from the withholding by using the Department of the Treasury Internal Revenue Service Form W-14, Certificate of Foreign Contracting Party Receiving Federal Procurement Payments, available via the internet at <a href="https://www.irs.gov/w14">www.irs.gov/w14</a>. Any exemption claimed and self-certified on the IRS Form W-14 is subject to audit by the IRS. Any disputes regarding the imposition and collection of the 26 U.S.C. 5000C tax are adjudicated by the IRS as the 26 U.S.C. 5000C tax is a tax matter, not a contract issue. The IRS Form W-14 is provided to the acquiring agency rather than to the IRS.

For purposes of withholding under 26 U.S.C. 5000C, the Offeror represents that—
(1) Itis is not a foreign person; and
(2) If the Offeror indicates "is" in paragraph (d)(1) of this provision, then the Offeror represents
that—"I am claiming on the IRS Form W-14 a full exemption, or partial or no
exemption [Offeror shall select one] from the excise tax".

- (e) If the Offeror represents it is a foreign person in paragraph (d)(1) of this provision, then—
  - (1) The clause at FAR 52.229-12, Tax on Certain Foreign Procurements, will be included in any resulting contract; and
  - (2) The Offeror shall submit with its offer the IRS Form W-14. If the IRS Form W-14 is not submitted with the offer, exemptions will not be applied to any resulting contract and the Government will withhold a full 2 percent of each payment.

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(f) If the Offeror selects "is" in paragraph (d)(1) and "partial or no exemption" in paragraph (d)(2) of this provision, the Offeror will be subject to withholding in accordance with the clause at FAR 52.229-12, Tax on Certain Foreign Procurements, in any resulting contract.

(g) A taxpayer may, for a fee, seek advice from the Internal Revenue Service (IRS) as to the proper tax treatment of a transaction. This is called a private letter ruling. Also, the IRS may publish a revenue ruling, which is an official interpretation by the IRS of the Internal Revenue Code, related statutes, tax treaties, and regulations. A revenue ruling is the conclusion of the IRS on how the law is applied to a specific set of facts. For questions relating to the interpretation of the IRS regulations go to <a href="https://www.irs.gov/help/tax-law-questions">https://www.irs.gov/help/tax-law-questions</a>.
(End of provision)

## 5.4. ADDENDUM TO SOLICITATION PROVISIONS FAR AND DOSAR PROVISIONS NOT PRESCRIBED IN PART 12

5.4.1. 52.252-1 SOLICITATION PROVISIONS INCORPORATED BY REFERENCE (OCT 1998)

This solicitation incorporates one or more solicitation provisions by reference, with the same force and effect as if they were given in full text. Upon request, the Contracting Officer will make their full text available. Also, the full text of a clause may be accessed electronically at: <a href="http://www.acquisition.gov/far/">http://www.acquisition.gov/far/</a> or <a href="http://farsite.hill.af.mil/vffara.htm">http://farsite.hill.af.mil/vffara.htm</a>

These addresses are subject to change. If the FAR is not available at the locations indicated above, use of an internet "search engine" (for example, Google, Yahoo, Excite) is suggested to obtain the latest location of the most current FAR provisions.

The following Federal Acquisition Regulation solicitation provisions are incorporated by reference:

52.225-25 PROHIBITION ON CONTRACTING WITH ENTITIES ENGAGING IN CERTAIN ACTIVITIES OR TRANSACTIONS RELATING TO IRAN (JUN 2020)